

## PROGRAM III: INFRASTRUCTURE & ENVIRONMENTAL

### SUMMARY OF APPROPRIATIONS AND REVENUES

Agency	Agency Name	FY 2002-2003 Appropriations	FY 2002-2003 Revenue	FY 2002-2003 Net County Cost
034	Watershed Management Programs	22,053,731	21,459,250	594,481
040	Utilities	19,026,766	887,952	18,138,814
071	Planning and Development Services	13,396,719	10,187,187	3,209,532
080	Public Facilities and Resources	42,967,376	31,693,071	11,274,305
	GENERAL FUND TOTAL	97,444,592	64,227,460	33,217,132
106	County Tidelands - Newport Bay	3,127,149	3,127,149	0
108	County Tidelands - Dana Point	20,868,697	20,868,697	0
113	Building and Safety	19,494,261	19,494,261	0
114	Fish and Game Propagation	102,266	102,266	0
115	Road	85,110,201	85,110,201	0
117	O.C. Housing Authority - Operating Reserves	7,788,372	7,788,372	0
119	Public Library - Capital	7,434,686	7,434,686	0
120	Public Library	28,381,933	28,381,933	0
128	Survey Monument Preservation	106,969	106,969	0
129	Off-Highway Vehicle Fees	194,840	194,840	0
137	Parking Facilities	4,776,482	4,776,482	0
140	Air Quality Improvement	316,303	316,303	0
148	Foothill Circulation Phasing Plan	7,784,395	7,784,395	0
15G	Housing/Community Development	36,393,483	36,393,483	0
15K	Limestone Regional Park Mitigation Endowment	40,370	40,370	0
15U	Strategic Priority Affordable Housing	13,800,000	13,800,000	0
275	IWMD - Environmental Reserve	3,428,000	3,428,000	0
276	IWMD - Deferred Payment Security Deposits	43,000	43,000	0
277	IWMD - Rate Stabilization	1,514,000	1,514,000	0
278	Regional Landfill Options for Orange County	840,500	840,500	0
279	IWMD - Landfill Post-Closure Maintenance	3,028,000	3,028,000	0
280	Airport - Operating Enterprise	171,262,192	171,262,192	0
283	John Wayne Airport Debt Service	81,874,335	81,874,335	0
284	Frank R. Bowerman/Bee Canyon Landfill Escrow	1,060,000	1,060,000	0
285	IWMD Bankruptcy Recovery Plan	18,802,562	18,802,562	0
286	Brea-Olinda Landfill Escrow	1,900,000	1,900,000	0
287	Prima Deschecha Landfill Escrow	670,000	670,000	0
288	Santiago Canyon Landfill Escrow	970,000	970,000	0
299	Integrated Waste Management Department Enterprise	117,567,800	117,567,800	0
400	Flood Control District	87,300,983	87,300,983	0
403	Santa Ana River Environmental Enhancement	243,817	243,817	0
404	Flood Control District - Capital	65,695,264	65,695,264	0
405	Harbors, Beaches and Parks CSA No. 26	65,299,617	65,299,617	0

**SUMMARY OF APPROPRIATIONS AND REVENUES (Continued)**

Agency	Agency Name	FY 2002-2003 Appropriations	FY 2002-2003 Revenue	FY 2002-2003 Net County Cost
458	County Service Area #4 - Leisure World	356,636	356,636	0
459	N. Tustin Landscape & Lighting Assessment District	1,009,834	1,009,834	0
468	County Service Area #13 - La Mirada	6,873	6,873	0
475	County Service Area #20 - La Habra	13,065	13,065	0
477	County Service Area #22 - East Yorba Linda	53,902	53,902	0
506	Irvine Coast Assess. District 88-1 -Construction	32,751,992	32,751,992	0
9A0	Debt Service	68,760,286	68,760,286	0
	NON-GENERAL FUND TOTAL	960,173,065	960,173,065	0
	TOTAL INFRASTRUCTURE & ENVIRONMENTAL	1,057,617,657	1,024,400,525	33,217,132

## 071 - PLANNING AND DEVELOPMENT SERVICES

### Operational Summary

#### Mission:

To safeguard the high quality of life in unincorporated Orange County through stewardship of the environment, application and enforcement of building, water and grading regulations, and planning of strategically balanced communities.

#### Strategic Goals:

- Ensure a safe living, working and recreational environment characterized by the highest quality building, electrical, grading and mechanical standards.
- Availability of a wide range of quality housing opportunities throughout the unincorporated areas of Orange County.
- Preservation of open space and protection of sensitive habitats, waterways and wildlife.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>NUMBER OF CASES OPENED &amp; CLOSED BY CODE ENFORCEMENT.</b>	Open cases: 835. Close cases: 853.	Open: 922 cases. Close: 908 cases.	On pace to complete target numbers.
<b>What:</b> Code enforcement cases to protect the safety & welfare of residents in Unincorporated Orange County. <b>Why:</b> To protect public from threats to safety.			
<b>TURNAROUND TIME ON RESOLUTION TO CODE ENFORCEMENT COMPLAINTS.</b>	48 days turnaround time.	40 days turnaround time.	On pace to meet target numbers.
<b>What:</b> Protect public from safety threats and responsiveness to concerns in fast & efficient manner. <b>Why:</b> To protect public from safety threats; and to provide fast & efficient public service.			
<b>NUMBER OF NEW HOMES COMPLETED, CATEGORIZED BY SQUARE FOOTAGE.</b>	2,480 projected total new units added.	Add another 2,333 total new units.	On pace to meet target goal of new units.
<b>What:</b> Create Housing Opportunity Overlay District that provides affordable housing production incentives. <b>Why:</b> To ensure fair housing opportunities for all residents.			

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	9,656,357
Total Final FY 2002-2003 Budget:	13,396,719
Percent of County General Fund:	0.56%
Total Employees:	95.00

## Key Outcome Measures: (Continued)

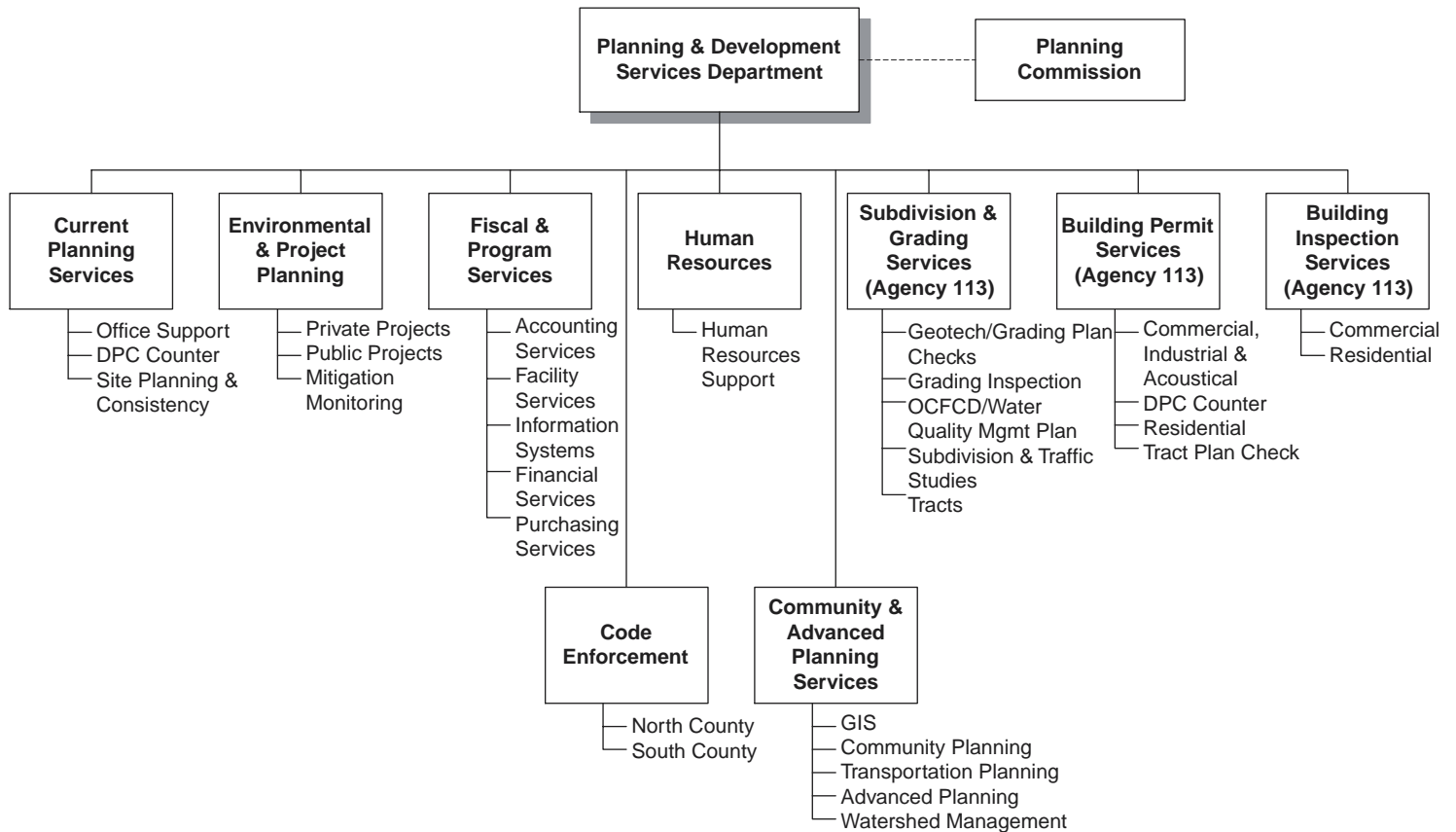
Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b># OF AFFORDABLE NEW HOMES IN GENERAL PLAN HOUSING ELEMENT - COMMUNITY REVITALIZATION EFFORTS.</b>  <b>What:</b> Affordable housing is state-mandated goal of the Housing Element. <b>Why:</b> To ensure fair housing opportunities for all residents.	In August 2001, established a Community Planning section, one goal of which is to facilitate expeditious development process coordination for affordable housing projects. Continue to work with Housing Element Resource Team to prepare a Housing Opportunity Overlay District for Board consideration.	Entitle 400 new affordable units.	Planning section initiated an overlay in development.
<b># OF ACRES IDENTIFIED FOR AFFORDABLE HOUSING &amp; DEVELOPMENT OF THOSE PROPERTIES.</b> <b>What:</b> PDSD's annual report outlines the organization's financial & corporate conditions. <b>Why:</b> To ensure fair housing opportunities for all residents.	As presented in Housing Element, 1,065 acres identified as potential for revitalization efforts. Committed to state minimum of 144 acres. 220 acres of potential sites actually identified.	Work with communities and community builders and property owners to commence construction on identified properties.	Acres identified and initial site evaluations completed. Need Board of Supervisors approval to continue with plan.
<b>NUMBER OF ACRES ADDED TO PERMANENT PRIVATE OPEN SPACE OR RECREATION.</b> <b>What:</b> To protect County's permanent open space for recreational opportunities. <b>Why:</b> To protect County's open spaces and expand recreational opportunities.	225 acres added.	Add 158 acres.	Coordinated planning for Southern Orange County is proceeding with an estimated completion in FY2003-2004.
<b>NUMBER OF ACRES OF SENSITIVE HABITAT ENHANCED OR CREATED THROUGH MITIGATION BANKS.</b> <b>What:</b> Establishment of biological mitigation measures required as mitigation for development projects. <b>Why:</b> To ensure preservation and restoration of County's natural habitats.	Complete: 242 acres. Pending: 241 acres.	Complete: 26 acres. Pending: 215 acres.	Lead personnel assigned and in process of designing program; on target for completion during FY2001-2002.
<b>NUMBER OF ENVIRONMENTAL DOCUMENTS COMPLETED.</b> <b>What:</b> To ensure proper review, preparation & processing of environmental impact reports. <b>Why:</b> Ensure environmental protections - mitigation of impact stemming from new project implementation.	Private Projects: 122 Public projects: 188 Non-County Lead: 144	Private Projects: 130 Public projects: 170 Non-County Lead: 135	PDSD responded to Community revitalization efforts by establishing a Community Action Team Action Plan. The first phase of Community Action Team implementation consist of relocating several members of code enforcement section to our Santa Ana office thus creating North and South County teams.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Established PDSD Deputy Director.
- Established a Community & Government Affairs Officer.
- Established a "Community & Advanced Planning Division."
- Implemented Comprehensive Agenda management System (CAMS) and filed the 1st electronic Agenda Staff report (ASR) complete with Internet hyperlink.
- Implemented Phase II of the Community Action team to establish the North and South County Code Enforcement Officer locations.
- Implemented Digital Signature on the desktop and "Digital Sender" technology.

- Implemented e-Training for all PDSD staff.
- Initiated Document Conversion Project.
- Acquired State certification for the Housing Element Update.
- Established State-Of-The-Art Windows 2000 Based Network.
- Established AntiVirus system to protect all PDSD users.

## Organizational Summary



**DIRECTOR'S OFFICE** - Planning & Development Services Department's Director, Assistant Director, Community & Government Affairs officer and secretary comprise this division. Each division manager reports directly to the PDSD Director. This relationship supports the coordination of the management team in implementing applicable department goals and objectives.

**CURRENT PLANNING SERVICES** - Provides quality information and planning services at the Development Processing Center; processes administrative & discretionary permits and zone changes for privately initiated development projects in an accurate and timely manner; coordinates public hearings for zoning administrator and planning commission; enforces County's land use regulations; and support regional planning efforts.

**PLANNING COMMISSION** - Provides clerical support to the Planning Commission.

**EL TORO REUSE** - Per Board of Supervisor's directive of 04/23/02, El Toro Reuse was terminated.

**ENVIRONMENTAL/PROJECT PLANNING** - Implements and complies with California Environmental Quality Act (CEQA); prepares community, specific and area plans; prepares & reviews Environmental Impact Reports, negative declarations, initial studies, categorical exemptions and other CEQA related documentation for private & public projects within the unincorporated areas; provides technical site planning & engineering support for environmental issues & special project analysis; acts as liaison to the California State Coastal Commission on project and planning requirements within unincorporated Orange County coastal zone; and monitors implementation of biological mitigation measures required for development projects in satisfaction of CEQA or conditions of project approval.

**CODE ENFORCEMENT** - Facilitates the enforcement of Titles 2,3,4,6 and 7 of the Orange County Codified Ordinance (OCCO) and a resolution establishing a schedule of fines for Grading code violations. Also issues Civil Citations that impose fines upon persons who violate the Orange County Codified Ordinances.

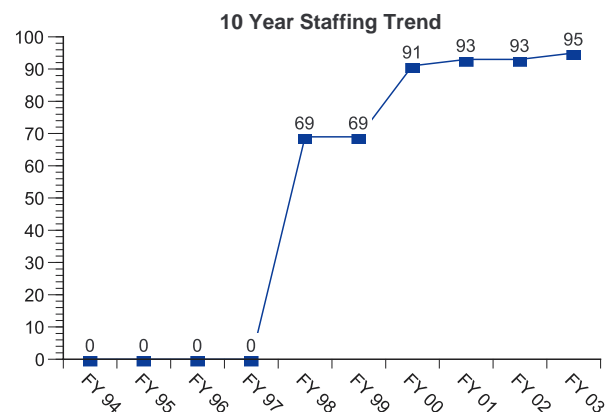
**FISCAL & PROGRAM SERVICES** - Provides quality services and support functions to all PDSD divisions and clients, including budget and financial services, payroll, building maintenance, records management, banking functions, information technology, and purchasing. In an effort to improve the reporting organization structure information, the agency-wide shared services were incorporated into this activity.

**HUMAN RESOURCES** - Commits to fully participating in the County Employee Recognition Program adopted by the Board of Supervisors in October 2001; implements Employee Spotlight Award and Departmental Level employee recognition programs in coordination with CEO/Human Resources; assures the full implementation of both MPP and PIP programs in PDSD; provides employees with professional, technical service & guidance; ensures the hiring and retention of employees who view the County of Orange as a challenging career opportunity.

**COMMUNITY & ADVANCED PLANNING** - Implements the Housing Element Five Year Action Plan & community revitalization activities; coordinates necessary transportation and air analysis for private and public projects within the unincorporated areas; monitors all Development Agree-

ments to assure compliance with obligations; maintains the General Plan; processes Annual Monitoring Reports for our larger planned communities; maintains & updates environmental & urban information themes, development of graphics for department reports; develops graphics for department reports; conducts special studies and analysis; and provides support for Watershed Planning Projects & Water Supply issues requiring coordination with other County agencies, Cities, State and Federal agencies.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Planning & Development Services Department (PDSD) was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/99 to FY 1999/2000 is due to the addition of Code Enforcement Section (7), Human Resources and Fiscal & Program Services Division (15). Per Board Directive on June 5, 2000, PDSD's FY 2000/2001 Budget was augmented by an additional \$200,000 and (2) positions to address code enforcement demands / needs in the County.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Consistent with the PDSD Strategic Plan to assist the County with incorporations; continue EIR Preparation; continue Code Enforcement; continue APPS implementation; support for regional planning efforts; commit to fully participating in County Employee recognition Program, adopted by Board

of Supervisors in October 2001; work in collaborative effort with CEO/Human Resources to implement both Employee Spotlight Awards & Departmental Level employee recognition programs; and continue to focus on connecting MPP & PIP employee goals to PDSD Business Plan goals & objectives.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	93	93	95	2	2.15
Total Revenues	6,666,210	8,803,827	8,837,388	10,187,187	1,349,798	15.27
Total Requirements	8,698,726	11,925,236	9,506,572	13,396,719	3,890,146	40.92
Net County Cost	2,032,516	3,121,409	669,183	3,209,532	2,540,348	379.62

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Planning and Development Services in the Appendix on page 501.

### Highlights of Key Trends:

- Public accessibility to permit status and records greatly increased by implementation of APPS.
- Creation of two separate geographic Code Enforcement areas & the assignment of specific officers to each accelerated response time and enhanced community trust.
- Processed the following major projects: Newport Coast/Newport Ridge, especially implementation of coastal development permit for improved water quality; Ladera Planned Community residential projects in Planning Areas 3 and 4B; Ladera Planned Community urban activity center (Planning Area 6); and Rancho Portrero Leadership Academy.
- Reached Annexation Agreement with City of Newport Beach for Newport Coast area.
- Continued to participate in the Talega Valley Joint Powers Authority Planning Commission.
- Processed amendment to Foothill/Trabuco Specific Plan to help homeowners with minor projects.
- Assisted almost 8,000 customers at the public planning counter and processed approximately 115 discretionary permits.
- Phase one implementation of the PDSD coaching program.
- Completed PIP training for PDSD Managers and Supervisors.
- Completed Sexual harassment training.
- Offered Workers Compensation Injury training to all Managers and Supervisors.
- Initiated Building Inspection Series Study.
- Initiated PDSD Human Resources Audit.

## Budget Units Under Agency Control

No.	Agency Name	Director'S Office	Current Planning Services	Planning Commission	El Toro Reuse	Environmental/ Project Planning	Code Enforcement	Fiscal & Program Services	Human Resources	Community & Advanced Planning	Total
071	Planning & Development Services	737,120	1,783,931	70,700	469,417	1,302,840	840,940	5,764,676	378,974	2,048,121	13,396,719
113	Building And Safety	19,494,261	0	0	0	0	0	0	0	0	19,494,261
140	Air Quality Improvement	316,303	0	0	0	0	0	0	0	0	316,303
	Total	20,547,684	1,783,931	70,700	469,417	1,302,840	840,940	5,764,676	378,974	2,048,121	33,207,283



## 113 - BUILDING AND SAFETY

### Operational Summary

#### Description:

The Building and Safety fund is a Restricted/Special Revenue Fund to enforce safety codes, ordinances and statutes related to construction and use of buildings, oil production, signs, zoning and community development in unincorporated areas of Orange County.

#### Strategic Goals:

- Process development permits.
- Provide excellent client services.
- Review building plans for compliance with building codes and regulations.
- Maintain plan check response time.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>NUMBER OF BUILDING AND HOME INSPECTIONS COMPLETED.</b> <b>What:</b> Provide citizens of unincorporated Orange County safe building through compliance with codes. <b>Why:</b> To ensure safety of new residential and non-residential construction.	133,652 inspections projected to be completed.	Complete 141,175 inspections.	On pace to complete target numbers.
<b>PERCENTAGE OF INSPECTIONS/REVIEWS PERFORMED WITHIN 1 BUSINESS DAY.</b> <b>What:</b> Provide efficient customer service to clientele. <b>Why:</b> To provide fair and efficient service to clientele.	95.6% completed within 1 business day (Year-to-date).	Complete 98% of requested reviews/inspections within 1 business day.	On pace to complete target numbers.
<b>PERCENTAGE OF APPROPRIATE STAFF RECEIVING TRAINING/CROSS-TRAINING IN NPDES.</b> <b>What:</b> Federally-mandated unfunded storm water program administration & inspection. <b>Why:</b> To reduce impact of pollutants on watersheds stemming from storm water run-off.	Assess impact on staffing & resources; design & implement program including amendments to local CEQA guidelines & new standard mitigations/conditions to be applied to projects.	Full integration of added duties into Departmental structure.	Evaluation has begun and currently on target for anticipated April 2002 implementation.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	17,565,313
Total Final FY 2002-2003 Budget:	19,494,261
Percent of County General Fund:	N/A
Total Employees:	109.00

### Fiscal Year FY 2001-2002 Key Project Accomplishments:

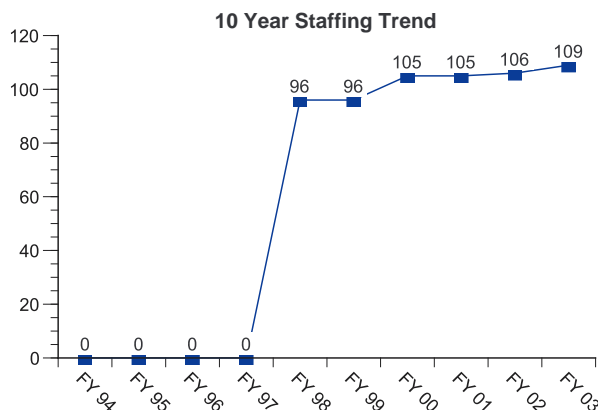
- Expansion of PDSD Online Services via Automated Permitting and Planning System (APPS): Cashiering & Trust Accounts; Grading Plan Check; Permit Initiation & Issuance; Permit Research; Expanded Online Services; Additional External Interfaces (PFRD, Assessor, HCD, HCA); Development of eCommerce Infrastructure; Improvement of GIS Interface; and Expanded reporting capabilities.
- Completion of APPS Phase III.
- Implementation of APPS Phase IV Modules.

**SUBDIVISION & GRADING SERVICES** - Provides support of additional duties imposed by PDSD's role in Regional Water Quality Control Boards' storm water permits pursuant to the Natural Pollutant Discharge Elimination System (NPDES); maintains subdivision tentative maps, reviews & approves street & drainage improvement plans; processes Subdivision Committee agenda; administers the master Plan of Drainage; and issues & inspects grading permits.

**BUILDING PERMIT SERVICES** - Reviews and approves all plans for building improvements and community development within unincorporated areas of the County for compliance with county building ordinances & applicable state building regulations; issues building, plumbing, electrical, mechanical, use and occupancy permits; and reviews acoustical reports for compliance with county land use and noise compatibility standards.

**BUILDING INSPECTION SERVICES** - Enforces safety codes, ordinances and statutes related to the construction and use of buildings, signs, zoning and community development in unincorporated areas of the County. In an effort to improve reporting organization structure information, the agency-wide shared services were incorporated into this activity.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Planning & Development Services Department was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/1999 to FY 1999/2000 is due to converting limited term and extra help Building Inspectors to regular positions. For FY 2001/2002, Board of Supervisors approved position augmentations for a Planner IV for Subdivision and Grading Services Division and an Engineering Tech II for Building Permits Division to provide counter services and process projects to meet the demands of our clients in the DPC. An additional 3 positions were approved for the support of additional duties imposed by our role in the Regional Water Quality Control Boards' storm water permits pursuant to the Natural Pollutant Discharge Elimination System.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Consistent with PDSD's Strategic Goals to assist the County with the utilization of integrated computer applications and inter/intra electronic communications; and continue preparation and implementation of the Automated Permitting and Planning System (APPS).

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	106	109	109	0	0.00
Total Revenues	22,843,055	19,237,808	15,092,797	19,494,261	4,401,463	29.16
Total Requirements	15,628,582	19,237,808	18,022,999	19,494,261	1,471,261	8.16
Balance	7,214,473	0	(2,930,202)	0	2,930,202	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Building and Safety in the Appendix on page 511.

## Highlights of Key Trends:

- Continuation of development and implementation for Automated Permitting & Planning System (APPS) Phase IV Applications.

## 140 - AIR QUALITY IMPROVEMENT

### Operational Summary

#### Description:

The purpose of the Air Quality Improvement Fund is to promote programs to reduce air pollution from motor vehicles, and was established pursuant to passage of the 1988 California Clean Air Act. A portion of car registration fees provided by the State of California is the primary funding source.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	188,955
Total Final FY 2002-2003 Budget:	316,303
Percent of County General Fund:	N/A
Total Employees:	0.00

#### Strategic Goals:

- Develop a reliable sub-area traffic forecast model to analyze the circulation system in unincorporated Orange County.
- Continue to develop and assist in implementation of a five-year program initiated in 1999 for utilization of AB2766 funds, Motor Vehicle Registration Fees, consistent with the Board of Supervisors' direction.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>SATISFACTION OF PARTNERS AND CLIENTS.</b>  <b>What:</b> Air Quality improvements is funded by Fund 140 for benefit of County operations & employees. <b>Why:</b> Activities lead to higher quality of life for OC residents & comply with Air District guidelines.	Eligible projects have been funded consistent with Board policies.	Funding will continue in accordance with program parameters.	Successfully supported the implementation of various rideshare programs in coordination with CEO/HR including Metrolink, Vanpool, and Guaranteed Return Trip Rideshare Program.
<b>PDSO TRAFFIC FORECASTS ARE CONSISTENT WITH THOSE OF OCTA.</b>  <b>What:</b> System ( computer models, data sets, & procedures) to allow County to make accurate forecasts. <b>Why:</b> We can serve our clients, especially other County Agencies/Departments more effectively.	Continued improvements are being made, consistent with changes by OCTA and in accordance with SCAQMD parameters. PDSO's utilization of Air Quality funds are consistent with Board of Supervisor directives.	Initiate sub-area model South Orange County Transportation Model (SOCTAM).	Progressing satisfactorily. Expect sub-area model completion by June 2003.
<b>POSITIVE AUDITS BY SCAQMD OF AB2766 FUNDS.</b>  <b>What:</b> Funds are available for a wide range of projects that lead to improved air quality. <b>Why:</b> This program can help lead to higher quality of life for Orange County residents.	Audit completed in December 2001.	Continue to manage program subject to staffing constraints.	Latest audit was satisfactory.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Leased 4 additional Electric Vehicles.

- Successful implementation of rideshare programs in coordination with CEO/HR including Metrolink, Vanpooling, and Guaranteed Return Trip Rideshare Program. The County received a Regional Air Quality Award in Spring 2002.
- Installation of 6 new Electric Vehicle Charging Stations in Central and South Orange County.
- Successful implementation / installation of 4 Information Kiosks throughout Orange County.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Continue to promote public awareness of reduction projects to reduce motor vehicle emissions.

Continue to keep partners and clients informed of planning and technical activities, and public education/rideshare events through verbal and written communication.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	484,790	334,423	394,478	316,303	(78,175)	-19.82
Total Requirements	302,667	334,423	183,272	316,303	133,030	72.59
Balance	182,123	0	211,205	0	(211,205)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Air Quality Improvement in the Appendix on page 524.

### Highlights of Key Trends:

- Completed development of OCTAM Traffic Model for Orange County forecast and analysis.

## 080 - PUBLIC FACILITIES AND RESOURCES

### Operational Summary

#### Mission:

The primary mission of the Public Facilities & Resources Department is to provide, operate, and maintain quality public facilities and regional resources for the people of Orange County.

#### Strategic Goals:

- Enforce State-Mandated Agricultural and Pesticide Regulations, and Weights and Measure Programs within Orange County.
- Provide quality facility operations and maintenance, capital project management, and mandated County Surveyor services.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>AG.COMMISSIONER:MAINTAIN 90% COMPLETION OF WORKLOAD INDICATORS FOR THREE ENFORCEMENT PROGRAMS.</b> <b>What:</b> State of California audits the performance results of the Agricultural Commissioner enforcement programs. <b>Why:</b> State must ensure that O.C. is accomplishing mandated programs according to State requirements.	The Agricultural Commissioner Function met State Standards.	To date, the Agricultural Commissioner Function has met State standards.	On target.
<b>INTERNAL SERVICES/FACILITY OPERATIONS: MEET MAINTENANCE STANDARDS FOR COUNTY BUILDINGS.</b> <b>What:</b> Keep County buildings and facilities safe, serviceable and clean for use by employees and public. <b>Why:</b> Enable employees & public to use County facilities in a functional, safe, and clean environment.	Met most maintenance standards.	Will meet all maintenance standards and achieve a 10% increase in preventative maintenance hours.	On target.
<b>INTERNAL SERVICES/A&amp;E: REDUCE THE NUMBER OF A/E PROJECTS REQUIRING RE-BUDGETING BY 5%.</b> <b>What:</b> A&E is responsible for managing the design and construction of growing volume of capital projects. <b>Why:</b> Re-budget of capital project work requires extra time and delays project completion.	Reduced the number of projects requiring rebudgeting by 5%.	Projects requiring re-budgeting will be reduced by 5%.	On target.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	32,782,014
Total Final FY 2002-2003 Budget:	42,967,376
Percent of County General Fund:	1.78%
Total Employees:	310.00

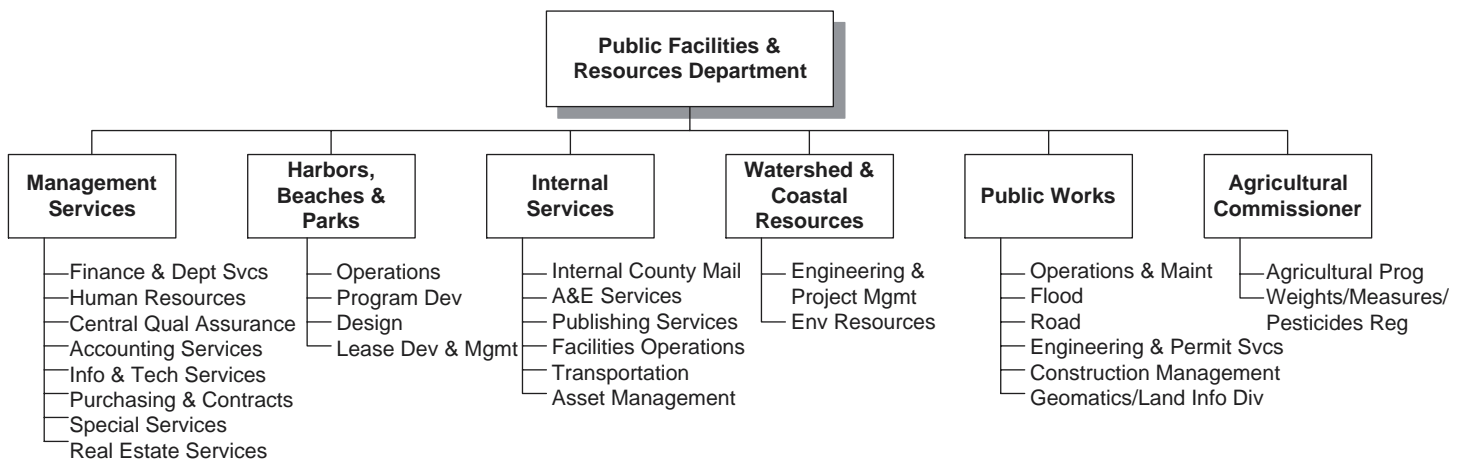
## Key Outcome Measures: (Continued)

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>AG.COMMISSIONER:MAINTAIN A EXCELLENT RATING ON STATE AUDITS AND REVIEWS OF ENFORCEMENT PROGRAMS.</b> <b>What:</b> State of California audits the performance results of the Agricultural Commissioner enforcement programs. <b>Why:</b> State must ensure that O.C. is accomplishing mandated programs according to State requirement.	The Agricultural Commissioner Function met State standards.	To date, the Agricultural Commissioner Function has met State standards.	On target.
<b>INTERNAL SERVICES-REPROGRAPHICS: COMPLETE 97% OF PRINTING REQUESTS ON TIME.</b> <b>What:</b> Reprographic Function is responsible to provide graphic design, printing and bindery services. <b>Why:</b> Graphic design, printing, photocopying, & bindery services required by PFRD & other County departments.	Completed 95% of printing requests on time.	Will complete 97% of printing requests on time.	On target.
<b>INTERNAL SERVICES-TRANSPORTATION: ACHIEVE 90% VEHICLE FLEET AVAILABILITY.</b> <b>What:</b> Provides fleet vehicle & equipment emergency response capabilities to PFRD & other County Departments. <b>Why:</b> Fleet vehicles & vehicle maintenance services required by PFRD & other County departments.	Achieved 90% vehicle fleet availability.	Will achieve 90% vehicle fleet availability.	On target.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Agricultural Commissioner: Maintained 90% completion of workload indicators for three enforcement programs. Maintained a rating from good to excellent on State audits and reviews of enforcement programs.
- Internal Services: Met maintenance standards for County buildings. Completed 97% of printing requests on time. Achieved 90% vehicle fleet availability and implemented new Fleet Management System to improve efficiency. Reduced the number of A/E projects requiring re-budgeting by 5%. Provided necessary pony mail services to county departments and agencies.
- Management Services: Provided necessary support to all PFRD funds.

## Organizational Summary



**DIRECTOR'S OFFICE** - The PFRD Director's Office provides overall guidance for operating the department, oversees a total of 1,209 PFRD positions, and ensures that PFRD provides quality services to other County departments and to the public.

**MANAGEMENT SERVICES** - The Management Services Function provides administrative support to PFRD programs including financial and budget services, human resources services, computer support, purchasing and contract support, accounting services, central quality assurance, building support services, special project coordination, legislative coordination, real estate services and parking facility administration.

**HARBORS, BEACHES AND PARKS** - See HBP Fund 405.

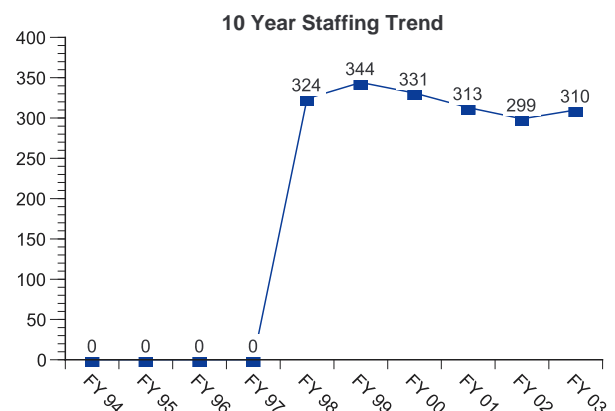
**INTERNAL SERVICES** - The Internal Services Function provides County-wide services including facility maintenance and support, Architect & Engineering services for County capital projects, repair, maintenance and management of the County vehicle fleet, publishing services, pony mail services, and coordination of the County's asset management program.

**WATERSHED & COASTAL RESOURCES** - See Watershed & Coastal Resources Agency 034.

**PUBLIC WORKS** - The Public Works Function, within PFRD Fund 080, includes the County Property Permits Section as well as some Geomatics and Construction staff positions. The 080 Public Works Budget also accounts for the cost to operate vehicles by road maintenance and flood control staff. The Road and Flood Funds reimburse PFRD Fund 080 for these vehicle operating expenses.

**AGRICULTURAL COMMISSIONER** - The Agricultural Commissioner is mandated to enforce State laws and regulations pertaining to agriculture, pest detection and exclusion, pesticide use, and weights and measures. The Agricultural Commissioner also implements the County's weed abatement program.

### Ten Year Staffing Trend:





### Ten Year Staffing Trend Highlights:

- The County formed the Public Facilities & Resources Department in FY 1997-98 from combining components of the former Environmental Management Agency with components of the former General Services Agency. PFRD Fund 080's total positions will be reduced from 313 at end of FY 2000-01 to 310 positions in FY 2002-2003. In addition to 310 positions in Fund 080, PFRD has 898 positions budgeted in nine other PFRD fund/agencies which include the Road Fund, Flood Fund, HBP, Utilities, Watershed & Coastal Resources, Parking Facilities, Reprographics ISF, Transportation ISF and Dana Point Tidelands. In FY 2002-2003, one position was absorbed in PFRD Human Resources from former LRA without additional appropriations and/or NCC dollars.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Agricultural Commissioner will continue to enforce State-Mandated Agricultural and Pesticide Regulations, and Weights and Measure Programs within Orange County.

Facilities Operations will continue to provide quality facility operations and maintenance and implement preventive maintenance program. Engineering services will complete the County's deferred maintenance plan and ensure compliance with the Americans with Disabilities Act (ADA) requirements.

#### Changes Included in the Base Budget:

PFRD Fund 080's FY 2002-03 Base Budget Request of \$42,644,586 is \$2,204,766 (5%) higher than the Current Modified Budget amount (Modified Budget includes all approved mid-year budget changes). The increase is mainly for increased Salaries & Benefits \$1,466,999 (7%), increased Services & Supplies \$1,353,131 (6%), and decreased Equipment \$803,734 (49%).

### Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
<b>Senior Architect &amp; Engineering Project Manager - 3 yr Limited Term</b> Amount: \$ 63,357	Increased workload due to new added capital projects for County Departments/Agencies.	Provide for timely completion of A&E capital projects & reduction in project management costs.	080-005
<b>Two (2) Architect &amp; Engineering Project Manager positions - 4 yr Limited Term</b> Amount: \$ 122,638	The timely completion of major projects and reduction in project management costs.	Provide for the timely completion of major projects and the reduction in project management costs.	080-007
<b>1 Info Sys Supervisor, 1 Sr. Sys Prog Analyst, &amp; 2 Sys Prog Analyst II</b> Amount: \$ (219,710)	This is a replacement of outside IT contractor resulting \$219,710 cost savings to PFRD.	To provide critical support to PFRD's application development and network infrastructure.	080-002

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	299	302	310	8	2.65
Total Revenues	23,649,778	30,558,227	23,511,590	31,693,071	8,181,480	34.80
Total Requirements	33,854,095	40,939,820	32,808,115	42,967,376	10,159,260	30.97
Net County Cost	10,204,317	10,381,593	9,296,524	11,274,305	1,977,780	21.27

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual

Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Public Facilities and Resources in the Appendix on page 505.

### Highlights of Key Trends:

- PFRD Agency 080's budget requests \$11,274,305 for general fund Net County Cost, which is under the target established by the CEO. This request for Net County Cost, which is \$857,712 (8%) higher than the Net

County Cost budgeted for Agency 080 in FY 2001-02, was increased due to higher cost of living \$706,206 (7%) and increase in PFRD overhead allocations.

### Budget Units Under Agency Control

No.	Agency Name	Director'S Office	Management Services	Harbors, Beaches And Parks	Internal Services	Watershed & Coastal Resources	Public Works	Agricultural Commissioner	Total
034	Watershed Management Program	0	0	0	0	22,053,731	0	0	22,053,731
040	Utilities	0	0	0	19,026,766	0	0	0	19,026,766
080	Public Facilities & Resources	297,438	15,772,135	650,000	14,387,182	0	5,635,980	6,224,641	42,967,376
106	County Tidelands - Newport Bay	0	0	3,127,149	0	0	0	0	3,127,149
108	County Tidelands - Dana Point	0	0	20,868,697	0	0	0	0	20,868,697
114	Fish And Game Propagation	0	0	102,266	0	0	0	0	102,266
115	Road	0	0	0	0	0	85,110,201	0	85,110,201
128	Survey Monument Preservation	0	0	106,969	0	0	0	0	106,969
129	Off-Highway Vehicle Fees	0	0	194,840	0	0	0	0	194,840
137	Parking Facilities	0	4,776,482	0	0	0	0	0	4,776,482
148	Foothill Circulation Phasing Plan	0	0	0	0	0	7,784,395	0	7,784,395
15K	Limestone Regional Park Mitigation Maint Endow	0	0	40,370	0	0	0	0	40,370
296	Transportation ISF	0	0	0	22,610,729	0	0	0	22,610,729
297	Reprographics ISF	0	0	0	4,641,605	0	0	0	4,641,605
400	Flood Control District	0	0	0	0	0	87,300,983	0	87,300,983
403	Santa Ana River Environmental Enhancement	0	0	0	0	0	243,817	0	243,817
404	Flood Control District-Capital	0	0	0	0	0	65,695,264	0	65,695,264
405	Harbors, Beaches & Parks CSA 26	0	0	65,299,617	0	0	0	0	65,299,617
458	County Service Area #4 -Leisure World	0	0	356,636	0	0	0	0	356,636
459	N. Tustin Landscape & Lighting Assessment District	0	0	1,009,834	0	0	0	0	1,009,834

## Budget Units Under Agency Control

No.	Agency Name	Director'S Office	Management Services	Harbors, Beaches And Parks	Internal Services	Watershed & Coastal Resources	Public Works	Agricultural Commissioner	Total
468	County Service Area #13 -La Mirada	0	0	6,873	0	0	0	0	6,873
475	County Service Area #20 - La Habra	0	0	13,065	0	0	0	0	13,065
477	County Service Area #22-E Yorba Linda	0	0	53,902	0	0	0	0	53,902
506	Irvine Coast Assessment District 88-1 Construction	0	0	0	0	0	32,751,992	0	32,751,992
Total		297,438	20,548,617	91,830,218	60,666,282	22,053,731	284,522,632	6,224,641	486,143,559

## 034 - WATERSHED MANAGEMENT PROGRAMS

### Operational Summary

#### Description:

State and federal water quality regulations are maturing to the point where greater demands are placed on local government. In addition, greater public awareness and activism has fostered a new level of expectations on local government to address water quality issues. Water pollution degrades surface waters making them unsafe for drinking, fishing, swim-

ming, and other activities. To combat water pollution and implement regional water quality improvement strategies, PFRD created this function in early 2001. Through this program PFRD will preserve, protect, and enhance coastal resources and surface waters throughout Orange County.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	12,919,437
Total Final FY 2002-2003 Budget:	22,053,731
Percent of County General Fund:	0.91%
Total Employees:	38.00

#### Strategic Goals:

- Develop regional management strategies to preserve, protect, and enhance coastal resources and surface water throughout Orange County.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>PROVIDE ORANGE COUNTY WITH SAFE WATER FOR RECREATION AND OTHER APPROPRIATE BENEFICIAL USES.</b> <b>What:</b> Watershed studies leading to construction projects in the flood channels. <b>Why:</b> The projects are designed to improve water quality.	Funding for projects secured through various grant fund applications.	Integrate short, mid, and long term strategies for watersheds.	Construction is proceeding as planned.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Environmental:
- Implementation of new NPDES municipal stormwater permits in early 2002 after review by the regional water boards and the public.
- Developed comprehensive five year education plan for public and business to improve water quality.
- Coordinated with UC Irvine to investigate source of contamination in the Lower Santa Ana River.
- Prepared multiple compliance reports in response to the municipal NPDES permits for the Newport Bay (TMDL) and Aliso Creek 13225 directive.
- Received grants for \$4.5M for projects at Newport Bay, Aliso Creek, Lower Talbert & Santa Ana River, and Serrano Creek.

- Completed the Baseline Conditions Report for the Newport Bay/San Diego Creek ACOE Watershed Study Management Plan.
- Capital Projects: Construction began on the Greenville-Banning Channel Diversion Capital Project. The expected completion date is July 2002.
- Construction began on the "Lower Talbert Santa Ana River Diversion Capital Project". The expected completion date in July 2002.

#### **WATERSHED & COASTAL RESOURCES - Watershed Planning:**

The Watershed & Coastal Resources Division will focus on water quality activities that are on a broader watershed basis that looks at a watercourse in its entirety. The program will involve cooperation amongst the United States Army Corps of Engineers, the Federal and State governments, and local municipalities to implement research and construction projects that will help the program accomplish its goals.

**Countywide Stormwater Quality Program:** Coordinate regional compliance with the National Pollutant Discharge Elimination System program (NPDES). The County serving as the principal municipality for the 33 city stormwater permittees will conduct countywide water quality monitoring of creeks, channels, bays, and harbors, inspect illicit connections, condition all new development with water quality protection requirements, conduct water pollution investigations and spill abatement.

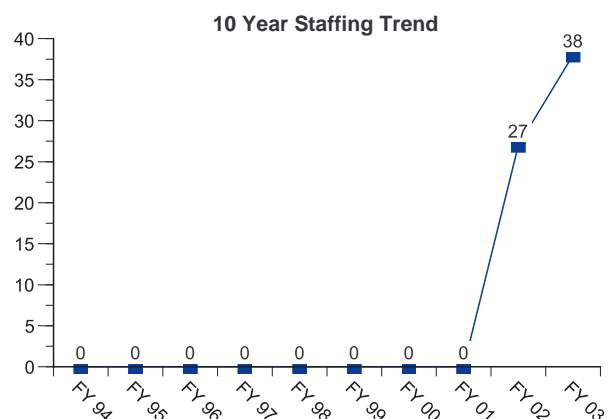
**Compliance with the federal Total Maximum Daily Load (TMDL) allocations:**

The TMDL focus to date has been on the Newport Bay / San Diego Creek watershed, where TMDL's for nutrients, sediment, and fecal coliform have been developed by the State and are currently being implemented. An additional TMDL for toxicity is in the process of being developed for this watershed.

**Countywide Hydrologic and Meteorological Data Collection System:**

Operate and maintain the Automatic Local Evaluation on Real Time Flood Detection System (ALERT) which consists of a network of over 100 rainfall and flood control and reservoir water level sensors strategically located throughout Orange County.

#### **Ten Year Staffing Trend:**



#### **Ten Year Staffing Trend Highlights:**

- Budget Fiscal Year 2002-2003 will be the second full budget year for the Division. The Watershed & Coastal Resources Division will have 38 staff to carry out its responsibilities. This budget year seven staff are being requested for the Environmental Resources Section to implement mandatory new water quality permit requirements for the National Pollutant Discharge Elimination System (NPDES). Three staff are being requested for the Engineering & Project Management Section to help implement watershed management initiatives and structural improvement projects through Engineering and Project Management.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Public Facilities & Resources Department created the Watershed & Coastal Resources Function last year. The function was created to support watershed management issues identified in the Fiscal Year 2000-2001 Strategic Priority Plan. The function will add staff as budgeted in the Strategic Priority Plan. Further development of the Aliso Creek Watershed Project is ongoing.

Additional staffing is being requested this year to meet the requirements of the Water Quality Strategic Priority which includes more stringent program requirements for the National Pollutant Discharge Elimination System (NPDES).

### Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
<b>Two Environmental Resource Specialist II and Two Environmental Resource Specialist III positions.</b> Amount: \$ 217,840	These positions will help implement the new NPDES requirements.	The performance plan will be measured by the new NPDES requirements.	034-002
<b>Two Senior Civil Engineers</b> Amount: \$ 164,314	These positions will implement watershed management engineering projects.	The performance plan will be measured by the completion of watershed management projects.	034-001
<b>One Staff Assistant</b> Amount: \$ 42,414	This position will support Watershed Division staff in watershed related activities.	The performance plan will be measured by watershed management requirements.	034-003

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	27	31	38	7	22.58
Total Revenues	675,919	11,461,734	12,555,327	21,459,250	8,903,922	70.92
Total Requirements	646,491	11,855,843	8,047,568	22,053,731	14,006,162	174.04
Net County Cost	(29,428)	394,109	(4,507,758)	594,481	5,102,239	-113.19

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Watershed Management Programs in the Appendix on page 499.

## 040 - UTILITIES

### Operational Summary

#### Description:

Provides utilities and trash collection for City, State, Federal, and County Agencies.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	18,976,566
Total Final FY 2002-2003 Budget:	19,026,766
Percent of County General Fund:	0.79%
Total Employees:	12.00

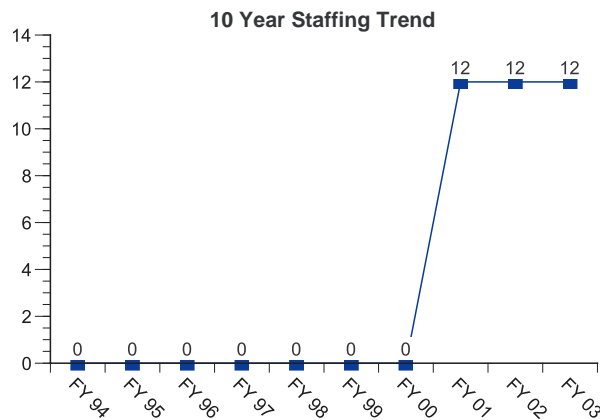
#### Strategic Goals:

- Ensure continuous availability of Central Utility Facility (CUF) to support the needs of served County facilities by completing Phase II and Phase III of the CUF rehabilitation.
- Complete energy consumption audit and develop energy management plan.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Designed and implemented the "Strategic Energy Plan" which was funded directly from Fund 036 Capital Projects and was arranged via loans to be repaid through on-going utility savings. The "Strategic Energy Plan" was approved by the Board which will expedite processing and reporting of our progress and efficiency in energy conservation and management.
- The County's "Strategic Energy Plan" completed programs include:
  - 1) Completed lighting up-grades in the Hall of Administration, Building #12, 909 N. Main, Harbor Court, South Court, West Court, North Court, South Court, District Attorney, and Transportation Garage.
  - 2) Awarded a contract to install eight energy producing micro turbines at the County Operations Center.
  - 3) Installed motion sensors in Building #12 and the Hall of Administration.
  - 4) Installed a micro turbine for use at Facilities Operations headquarters.
  - 5) Developed an energy usage comparison report by facility.
  - 6) Tested a variety energy saving devices, reduced lighting levels in offices and hallways.
  - 7) Installed LED low energy exit lighting in four facilities and four parking structures.
  - 8) Engineered and implemented the placement of the entire Building #12 on an emergency standby generator.

## Ten Year Staffing Trend:



## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Phase II of the upgrade to the Central Utilities Facility (CUF) was deferred in FY 2001-02 due to the studies of Co-generation. Phase II and Phase III will be complete in FY 2002-03. This project is part of the Deferred Maintenance Strategic Priority.

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	12	12	12	0	0.00
Total Revenues	518,745	1,016,474	733,255	887,952	154,696	21.10
Total Requirements	15,574,103	19,506,672	18,784,166	19,026,766	242,599	1.29
Net County Cost	15,055,358	18,490,198	18,050,911	18,138,814	87,902	0.49

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Utilities in the Appendix on page 500.



## 106 - COUNTY TIDELANDS - NEWPORT BAY

### Operational Summary

#### Description:

Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	2,216,888
Total Final FY 2002-2003 Budget:	3,127,149
Percent of County General Fund:	N/A
Total Employees:	0.00

**COUNTY TIDELANDS/NEWPORT BAY** - This fund is financed by revenue derived from rents and leases of land and improvements on state tidelands in Newport Bay granted in trust to the County, and is for use to benefit those granted lands.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	3,020,111	2,548,779	2,642,641	3,127,149	484,507	18.33
Total Requirements	2,969,484	2,548,779	2,249,328	3,127,149	877,820	39.03
Balance	50,627	0	393,313	0	(393,313)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Tidelands - Newport Bay in the Appendix on page 509.

## 108 - COUNTY TIDELANDS - DANA POINT

### Operational Summary

#### Description:

Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	14,829,220
Total Final FY 2002-2003 Budget:	20,868,697
Percent of County General Fund:	N/A
Total Employees:	19.00

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

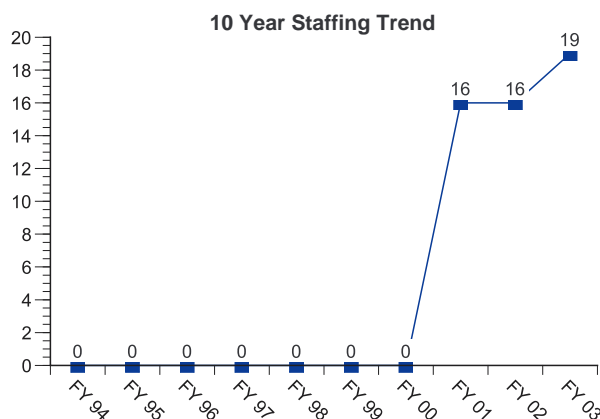
- A new position of Dana Point Harbor Revitalization Project Manager was added this year to oversee operations.
- Design of the Marina Hotel renovation project began this year. The actual construction project will begin next budget year.
- Design of other Dana Point Harbor Revitalization projects began this year and will continue through the next budget year.

**COUNTY TIDELANDS/DANA POINT** - This fund is financed by revenue derived from rents and leases of land improvements on or adjacent to state tidelands in Dana Point Harbor, granted in trust to the County, and is for use to benefit those granted lands. Capital projects are financed from HB&P and repaid as funds are available.

#### Ten Year Staffing Trend Highlights:

- An additional 2 staff were added to the Dana Point Tideland Fund to help implement the Dana Point Harbor Revitalization Project.
- An additional staff was added to implement Coastal Engineering and Water Quality Services.

#### Ten Year Staffing Trend:



## Budget Summary

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	16	19	19	0	0.00
Total Revenues	9,268,942	20,159,846	15,981,432	20,868,697	4,887,264	30.58
Total Requirements	9,308,951	20,159,846	19,203,893	20,868,697	1,664,803	8.67
Balance	(40,009)	0	(3,222,461)	0	3,222,461	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Tidelands - Dana Point in the Appendix on page 510.

## 114 - FISH AND GAME PROPAGATION

### Operational Summary

#### Description:

Evaluate and recommend policy to further fish and game habitat and preservation interests and, per Board policy, financially support fish stocking in regional park lakes.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	11,348
Total Final FY 2002-2003 Budget:	102,266
Percent of County General Fund:	N/A
Total Employees:	0.00

**FISH & GAME PROPAGATION** - This fund derives its revenue from fines levied by the State Department of Fish and Game. These revenues are used to enhance public awareness of the County's Fish and Game resources.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	155,927	50,846	78,554	102,266	23,711	30.18
Total Requirements	116,962	50,846	11,348	102,266	90,917	801.17
Balance	38,965	0	67,206	0	(67,206)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Fish and Game Propagation in the Appendix on page 513.

# 115 - ROAD

## Operational Summary

### Description:

Road Fund constructs, maintains, and manages the public road system in the unincorporated areas of Orange County. It also provides construction inspection and performs quality assurance inspections related to the construction of infrastructure in the planned communities.

The Fund also provides road maintenance services to the newly incorporated cities under contractual agreements.

### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	43,203,671
Total Final FY 2002-2003 Budget:	85,110,201
Percent of County General Fund:	N/A
Total Employees:	213.00

### Strategic Goals:

- Construct, maintain and manage road system in unincorporated Orange County.

### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>MEET ESTABLISHED TRAFFIC SIGNAL MAINTENANCE &amp; OPERATIONS STANDARDS FOR THE COUNTY &amp; CONTRACT CITIES.</b> <b>What:</b> Maintenance of County's and contract cities' traffic signals for smooth flow of traffic. <b>Why:</b> To have an orderly and smooth flow of traffic.	Perform signal maintenance and operation activities for the County's and contract cities' 241 signalized intersections.	Perform signal maintenance and operation activities for the County's and contract cities' 245 signalized intersections	On target.
<b>CONSTRUCT 43 LANE-MILES OF ROADWAY IMPROVEMENTS.</b> <b>What:</b> Maintenance of existing road miles within unincorporated area of Orange County. <b>Why:</b> To avoid public liability claims resulting from poorly maintained roads.	Constructed 3 lane miles of roadway and secured federal environmental documentation for Laguna Canyon Road. Scheduled to submit 100%-complete PS&E to CalTrans for Laguna Canyon Road.	Construction of 19.5 lane miles of roadway and completion of PS&E for 19 additional lane miles of roadway.	On target.
<b>CONSTRUCT 11 MILES OF ROADWAY SHOULDERS.</b> <b>What:</b> New construction for road maintenance and stability. <b>Why:</b> Stabilize roadways & have emergency stopping areas for motorists to pull over in case of emergencies.	Construct PS&E for 8.5 miles of roadway shoulders.	Construct 10.5 miles of roadway shoulders, including 9 for the SR 133 re-alignment project.	On target.
<b>CONSTRUCT 8 MILES OF SIDEWALK AND 5 MILES OF BICYCLE LANES.</b> <b>What:</b> Construction of bicycle lanes and sidewalks for bicyclists and pedestrians respectively. <b>Why:</b> To accommodate bicyclists and pedestrians for use of the roadways.	Construct 1 mile of sidewalk and 2 miles of bicycle lane. Completion of PS&E for 1 mile of sidewalk and 1 mile of bicycle lane.	Construct 1 mile of bicycle lane and 3 miles of sidewalk. Completion of PS&E for 3 miles of sidewalk and 0.5 miles of bicycle lane.	On target.

## Key Outcome Measures: (Continued)

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>IMPROVE 41 STREET INTERSECTIONS WITH CONSTRUCTION OF TURN POCKETS, SIGNALS &amp; SIGNAL MODIFICATIONS.</b> <b>What:</b> Intersection improvements. <b>Why:</b> Orderly and smooth flow of traffic to avoid accidents.	Construct 9 intersections and completion of PS&E for 1 intersection.	Construct 7 intersections and completion of PS&E for 17 intersections.	On target.
<b>OBTAIN ANNUALLY \$3 TO \$4 MILLION GRANT FUNDING FOR CONSTRUCTION AND OPERATION OF THE ROADWAY SYSTEM.</b> <b>What:</b> Grant funding if not claimed would be allocated to other local government entities. <b>Why:</b> Need the funding for the construction of roadways especially after the bankruptcy diversion to OCTA.	Obtain 2 million dollars in competitive grant funding for road construction and operation	Obtain 4 million dollars in competitive grant funding for road construction and operation.	On target.
<b>CONDUCT ANNUALLY 145 TRAFFIC SAFETY INVESTIGATIONS BASED ON PUBLIC REQUESTS FOR SAFETY IMPROVEMENTS.</b> <b>What:</b> Traffic safety inspections to assess the need for improvements in traffic flow and reduce accidents. <b>Why:</b> Reduce accidents and liability claims arising due to unsafe roadways.	Conduct 162 traffic signal investigations.	Conduct 150 traffic safety investigations in response to public requests.	On target.
<b>MAINTAIN 80% OF THE 364 MILES OF PAVEMENT AT A RATING OF "GOOD" AND 15% AT A RATING OF "FAIR".</b> <b>What:</b> Maintenance of road miles within unincorporated Orange County based on a standardized schedule. <b>Why:</b> To avoid public liability claims resulting from poorly maintained roads.	Will achieve pavement ratings of 80% "Good" and 17% "Fair".	Will achieve pavement ratings of 80% "Good" and 20% "Fair".	On target.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Developed and administered County's multi-year Transportation Capital Improvement Program (CIP) and secured grant funding for development of the CIP.
- Processed the necessary documents and received Board approval for maintaining the County's eligibility to receive grant funding from Measure M and other types of grants; this is a yearly requirement and includes updating the 7-year Transportation Capital Improvement Program (CIP).
- Developed and received Board approval for the Disadvantaged Business Enterprise program; this program is required if we are to receive any Federal grants.
- Completed the seismic retrofitting of bridges in Orange County identified by the State as being deficient.
- Completed construction of Newport Boulevard Phase II, Crawford Canyon Road safety improvements, Santiago Canyon Road safety improvements adjacent to Silverado School, various slurry seal and pavement rehabilitation projects throughout County and other miscellaneous construction projects throughout County.
- Continued design efforts on some major road projects in the County including: Laguna Canyon Road from El Toro Road to I-405, Moulton Parkway in various segments, Glassell Street Bridge over Santa Ana River, Alton Parkway north of Irvine Boulevard, Katella Avenue from Magnolia to Jean, Irvine Avenue from University Drive to Bristol Street, Tustin Avenue at Orangethorpe, Beach Boulevard Bridge at Brea Creek, Santiago Canyon Road Bridge Retrofit at Santiago Creek and Warner Avenue Bridge widening at Santa Ana River.

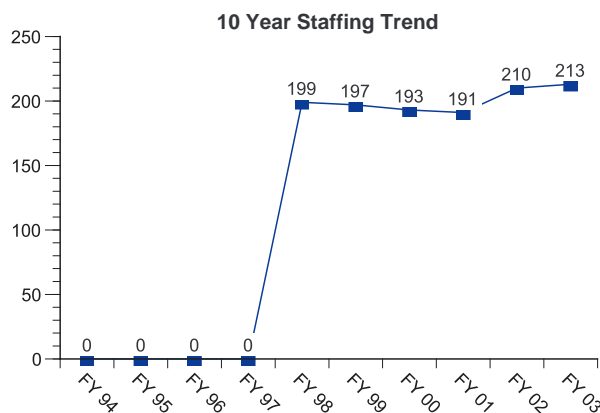
- Continued to implement the Board approved AB-2928 Road and Highway Expenditure Plan. This is a use it or lose it program and PFRD has continued to meet the funding goals of using all of the allocations by the critical milestones.
- Designed and constructed two traffic signals totaling \$233,000.
- Developed and received Board approval for a list of A/E firms to do on-call work for road projects.
- Assisted other County Agencies and PFRD Functions with transportation related needs.

**ROAD - Special District - Administer County's Road Fee Program and Special Funding Districts.**

Road Program - Develop and administer County's transportation capital improvement program and secure grant funding.

Traffic Engineering - Conduct traffic safety investigations, provide traffic committee support and plan, design & operate traffic signal systems.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- PFRD has undertaken several reorganizations and it is only recently that this fund was assigned positions. The fund does not have a staffing history before FY 1997-98 as all staff were assigned to the PFRD Operating Fund and billed to the various PFRD funds via direct and indirect billing from the Operating Fund. For FY 2001-02 one limited term position was approved by the Board for increased street sweeping related services to mitigate urban runoff. This position was converted to a regular full time position with the FY 2001-02 third quarter budget adjustments submitted to the board.

## Budget Summary

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	210	213	213	0	0.00
Total Revenues	62,721,426	80,939,150	85,072,120	85,110,201	38,080	0.04
Total Requirements	38,048,554	80,939,150	66,291,834	85,110,201	18,818,366	28.39
Balance	24,672,872	0	18,780,286	0	(18,780,286)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Road in the Appendix on page 514.

### Highlights of Key Trends:

- PS&E preparation for Segments 2 & 3 of Laguna Canyon Road, from SR-73 to SR-405, is scheduled for completion by May 1, 2002. The award of the construction contract is estimated to occur by November 2002. Project construction should be completed no later than June

2004. PS&E preparation for Segment 4, from El Toro Road to SR-73, is scheduled for completion by November 2002. The award of this construction contract is estimated to occur by August 2003. Project construction should be completed no later than April 2004.



## 128 - SURVEY MONUMENT PRESERVATION

### Operational Summary

#### Description:

California Government Code Sec 27584 authorizes this fund to pay for County surveyor cost for retracement or remonument surveys of major historical land division lines upon which later surveys are based.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	9,201
Total Final FY 2002-2003 Budget:	106,969
Percent of County General Fund:	N/A
Total Employees:	0.00

**SURVEY MONUMENT PRESERVTN FUND** - This balanced fund receives revenue from fees charged by the County Recorder for filing and recording grant deeds. These funds are dedicated to retracement and remonument services performed by the Orange County Surveyor's Office.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	139,459	96,851	103,817	106,969	3,151	3.04
Total Requirements	104,940	96,851	9,200	106,969	97,768	1,062.64
Balance	34,519	0	94,617	0	(94,617)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Survey Monument Preservation in the Appendix on page 521.

## 129 - OFF-HIGHWAY VEHICLE FEES

### Operational Summary

#### Description:

Support facilities for off-highway vehicle use if and when such facilities are established, and monitor and control illegal use of off-highway vehicles in regional open space and wilderness parks.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	58,549
Total Final FY 2002-2003 Budget:	194,840
Percent of County General Fund:	N/A
Total Employees:	0.00

**OFF-HIGHWAY VEHICLE FEES** - This fund is financed by revenue from registration fee for off-highway vehicle parks. Allowable uses of funds are: 1) planning, constructing, or operating facilities for use of off-highway vehicles; 2) controlling operations of motor vehicles where their use is prohibited; and 3) repairing facilities. Presently, only #2 is being done.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	484,450	190,777	172,459	194,840	22,380	12.98
Total Requirements	384,409	190,777	58,548	194,840	136,291	232.78
Balance	100,041	0	113,910	0	(113,910)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Off-Highway Vehicle Fees in the Appendix on page 522.

## 137 - PARKING FACILITIES

### Operational Summary

#### Description:

To provide, operate, and maintain parking facilities for County employees and for the public conducting business with the County.

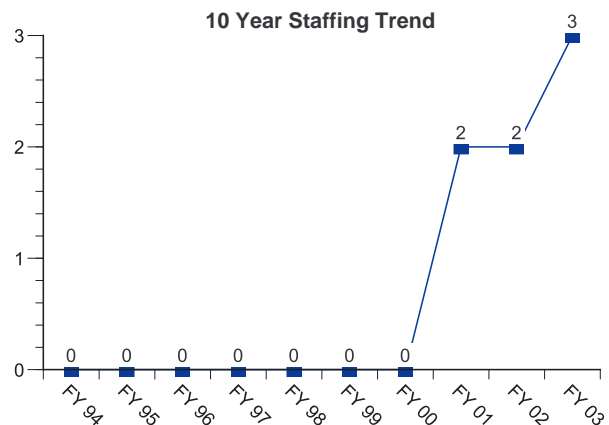
#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	4,932,548
Total Final FY 2002-2003 Budget:	4,776,482
Percent of County General Fund:	N/A
Total Employees:	3.00

**PARKING FACILITIES** - This fund is used to account for cost and revenue associated with providing parking facilities to the public and employees. Segregating these funds allows for analysis of the parking facilities impact to the General Fund. Included in this fund are operating and maintenance cost for all County-owned parking lots, parking revenue associated with County-owned pay parking lots (Manches-

ter, Hall of Administration, and Hutton Twin Towers lots), parking spaces leased by the County, and the County's cost associated with the Civic Center Authority lots. This fund provides financing to meet debt service obligations for the Manchester parking structures.

#### Ten Year Staffing Trend:



#### Ten Year Staffing Trend Highlights:

- In FY 2002-2003, one position was absorbed in Parking Administration from former LRA without additional appropriations and/or NCC dollars.

## Budget Summary

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	2	2	3	1	50.00
Total Revenues	5,953,730	4,916,576	6,150,989	4,776,482	(1,374,507)	-22.35
Total Requirements	4,365,440	4,916,576	4,930,340	4,776,482	(153,858)	-3.12
Balance	1,588,290	0	1,220,648	0	(1,220,648)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Parking Facilities in the Appendix on page 523.

# 148 - FOOTHILL CIRCULATION PHASING PLAN

## Operational Summary

### Description:

Provide for construction and acquisition of road, bridges and intersection improvements as outlined by FCPP adopted September 15, 1987.

### Strategic Goals:

- Complete required projects according to priority and stay within the financial constraints of bond financing.

### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Awarded Alton Parkway Design Contract.
- Received bids for construction of El Toro Road Improvements at I-5.
- Acquired Design/Construction of FCPP intersections within the City of Mission Viejo.

**FOOTHILL CIRCULATION PHASING** - Construction and acquisition of roads, bridges and intersection improvements.

### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	2,413,593
Total Final FY 2002-2003 Budget:	7,784,395
Percent of County General Fund:	N/A
Total Employees:	0.00

## Budget Summary

### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	(1,939,925)	7,580,073	(793,733)	7,784,395	8,578,128	-1,080.73
Total Requirements	1,354,484	7,580,073	2,332,415	7,784,395	5,451,979	233.75
Balance	(3,294,409)	0	(3,126,148)	0	3,126,148	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Foothill Circulation Phasing Plan in the Appendix on page 525.

### Highlights of Key Trends:

- Alton Parkway Design Contract Awarded - Construction expected to begin late 2004.

## 15K - LIMESTONE REGIONAL PARK MITIGATION ENDOWMENT

### Operational Summary

#### Description:

Board of Supervisors Minute Order of 11/15/94 authorized establishment of this fund. The interest from this fund is to be spent on the maintenance cost for a proposed wetlands mitigation area in the future Limestone Regional Park.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	256
Total Final FY 2002-2003 Budget:	40,370
Percent of County General Fund:	N/A
Total Employees:	0.00

**LIMESTONE RP MITIG MAINT ENDOW** - This is a non-expendable endowment and trust fund. Interest earnings from a \$200,000 contribution from Foothill/Eastern Transportation Corridor Agency will be used for annual repairs and maintenance of a proposed mitigation area within the future boundary of Limestone Regional Park.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	37,988	35,759	28,704	40,370	11,665	40.64
Total Requirements	18,820	35,759	255	40,370	40,114	15,697.92
Balance	19,168	0	28,449	0	(28,449)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Limestone Regional Park Mitigation Endowment in the Appendix on page 532.

## 400 - FLOOD CONTROL DISTRICT

### Operational Summary

#### Description:

Flood Fund provides flood protection Countywide by operating and maintaining the Orange County Flood Control District's 350 miles of flood control channels, dams, retarding basins, six pump stations, and other flood control infrastructure that PFRD continues to design and construct. Rainfall and stormwater flows throughout the County are monitored twenty-four hours a day for potential storm damage and to enable a quick response in the event of danger.

#### Strategic Goals:

- Provide regional flood protection for Orange County.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>INSPECT AND MAINTAIN ORANGE COUNTY FLOOD CONTROL DISTRICT FACILITIES TO PROTECT PUBLIC AND PROPERTY.</b> <b>What:</b> Maintenance of flood control channels for the protection of the public and property against floods. <b>Why:</b> Protect the public and property against floods and to avoid public liability claims due to floods.	Inspect 100% of OCFCDD regional flood control facilities and insure that the facilities are designed and maintained appropriately.	Inspect 100% of OCFCDD regional flood control facilities and insure that the facilities are designed and maintained appropriately.	On schedule.
<b>ESTABLISH A MULTI-YEAR CAPITAL IMPROVEMENT PROGRAM FOR THE ORANGE COUNTY FLOOD CONTROL DISTRICT.</b> <b>What:</b> Annual process. <b>Why:</b> To provide 100 year flood protection and to help residents avoid having to purchase flood insurance.	Finalized and implemented the Multi-year (7 year) Financial Plan for the Flood Control Capital Improvement Program, including design services.	Update multi-year plan to include accomplishments for current FY and projections for FY ending 2007-08 with input from CEFCAC.	On schedule.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	61,752,463
Total Final FY 2002-2003 Budget:	87,300,983
Percent of County General Fund:	N/A
Total Employees:	250.00

## Key Outcome Measures: (Continued)

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>PROVIDE 100 YEAR FLOOD PROTECTION TO RESIDENTS IN LINE WITH THE NATIONAL FLOOD INSURANCE PROGRAM.</b> <b>What:</b> Flood control facilities/improvements constructed for areas of new development. <b>Why:</b> To protect public and property against 100 year flood and mitigate purchase of flood insurance.	Accept 19,076 feet of flood control facilities and/or other improvements constructed for areas of new development and affecting residents and businesses within the 100-year floodplain. Accept Rossmoor Pump Station and Retarding Basin, Horno Retarding Basin and Orchard Estate Retarding Basin.	Accept 9,260 feet of flood control facilities and/or other improvements constructed for areas of new development affecting residents and businesses within the 100-year floodplain. Start construction of regional flood control facilities/improvements. Start construction of Laguna Canyon Channel.	On schedule.
<b>PROVIDE 190 YEAR FLOOD PROTECTION THROUGH THE SANTA ANA RIVER MAINSTEM AND PRADO DAM PROJECTS.</b> <b>What:</b> Projects to achieve 190 year flood protection to safeguard the residents of Orange County. <b>Why:</b> To mitigate flood insurance and liability claims against flooding.	Commence, in cooperation with the U.S. Army Corps of Engineers, construction of approximately 20% of the Prado Dam project. Anticipate the turnover of operation and maintenance activities for the Seven Oaks Dam.	Complete, in cooperation with the U. S. Army Corps of Engineers, an additional 20% of Prado Dam construction.	The plan is to construct approximately 15-20% of the Prado Dam project annually and complete the project by Year 2007.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

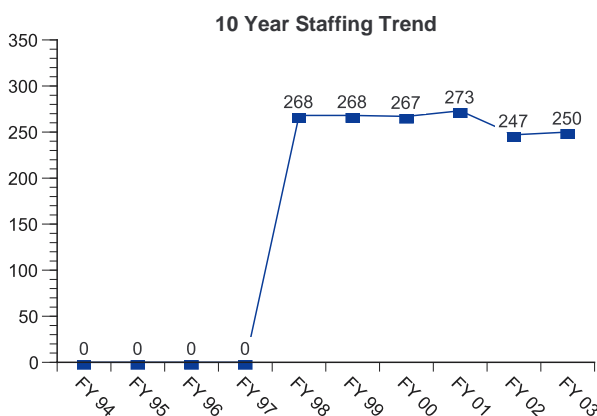
- Construction projects completed portions of:
- Carbon Creek Channel
- Bolsa Chica Channel
- East Garden Grove-Wintersburg Channel
- Rossmoor Pump Station
- Huntington Beach Channel
- Talbert Channel
- Designs completed for portions of:
- Huntington Beach Channel
- Talbert Channel
- Segunda Deshecha Channel
- Sulfur Creek Dam
- Completed hydrology report I02-3A (50-year)
- Serrano Creek Project - Bake Parkway to Trabuco Road (Reach 1):
- Prepared PLANS AND AWARDED CONTRACT FOR Serrano and executed an agreement between the county, City of Lake Forest and Serrano Creek Conservancy for a joint creek study.
- Reviewed and approved the Design Report for several developer projects including Ladera Ranch's Horno Retarding Basin.



- Compiled hydrology reports for Haster Retarding Basin, East-Garden Grove-Wintersburg Channel, Oertley Storm Channel, and Laguna Canyon Channel.
- Executed several Agreements including No. D00-077 with the City of Anaheim and Anaheim Redevelopment Agency for Raymond Retarding Basin.

**FLOOD CONTROL DISTRICT** - Designs, builds and maintains flood control channels and other flood protection facilities. Monitors potentially severe storm events and responding to emergencies. Complies with National Clean Water Act standards and related regulations for creeks, rivers and stormwater. Acquires land and easements needed to construct flood control projects.

### Ten Year Staffing Trend:



## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The Flood Control District along with HB&P will support the PFRD/Watershed Management Program Strategic Priorities in Fiscal Year 2002-2003. The Flood Control District is budgeted to contribute approximately \$5 million to various Watershed efforts. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	247	250	250	0	0.00
Total Revenues	87,848,812	81,651,628	85,182,305	87,300,983	2,118,677	2.49
Total Requirements	49,182,997	81,651,628	80,975,076	87,300,983	6,325,906	7.81
Balance	38,665,815	0	4,207,229	0	(4,207,229)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Flood Control District in the Appendix on page 558.

### Highlights of Key Trends:

- Design and construct EGG-Wintersburg Channel Basin - Haster Basin.
- Design and construct Huntington Beach Channel-Indianapolis to Adams.
- Design and construct Huntington Beach Channel - Atlanta to Indianapolis.
- Design and construct Laguna Canyon Channel - Pacific Ocean to Forest.
- Design and construct Galivan Retarding Basin - Mitigation.

- Design and construct Segunda Deshecha Channel - El Camino Real to I-5.
- In addition we propose to have the Westminster Channel project report accomplished and begin work on Anaheim Barber City Channel Project Report.

## 403 - SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT

### Operational Summary

#### Description:

Provide for the environmental enhancement of the Santa Ana River between Katella Avenue and Imperial Highway per agreement with Orange County Water District.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	1,806
Total Final FY 2002-2003 Budget:	243,817
Percent of County General Fund:	N/A
Total Employees:	0.00

**SAR ENVIRONMENTL ENHNCEMNT FND** - Provide for the environmental enhancement of the Santa Ana River between Katella Avenue and Imperial Highway.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	216,961	229,092	225,033	243,817	18,783	8.35
Total Requirements	1,638	229,092	1,768	243,817	242,048	13,684.08
Balance	215,323	0	223,264	0	(223,264)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Santa Ana River Environmental Enhancement in the Appendix on page 559.

## 404 - FLOOD CONTROL DISTRICT - CAPITAL

### Operational Summary

#### Description:

Remove the flood threat from the Santa Ana River (SAR).

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	10,071,531
Total Final FY 2002-2003 Budget:	65,695,264
Percent of County General Fund:	N/A
Total Employees:	0.00

#### Strategic Goals:

- Complete construction of the SAR Mainstem Project.
- Obtain additional funding to complete SAR project, including Prado Dam.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Completed negotiations on Project Cooperation Agreement with the U.S. Army Corps of Engineers separating Prado Dam from the original SAR Mainstem Project.
- Submitted request for Federal Funding to U.S. Senate and Congressional Appropriations committees to support the SAR and Prado Dam Projects.
- Submitted \$5 million in claims to the Department of Water Resources for reimbursement from State of California.
- Completed construction of Seven Oaks Dam.
- Negotiated maintenance agreement for local sponsor ownership of Seven Oaks Dam.
- Completed Supplemental Environmental Impact Report for Prado Dam and SAR/Reach 9 Projects.
- Completed Section 7 consultation with Fish and Wildlife Service and Biological Opinion for Prado Dam and SAR/Reach 9 Projects.
- Obtained California Department of Fish and Game Streambed alteration agreement for SAR/Reach 9 and Prado Dam Projects.
- Obtained California Endangered Species incidental take Permit for SAR/Reach 9 and Prado Dam Projects.
- Completed construction of haul roads for the proposed Prado Dam Embankment Modification.
- Completed clear and grub for Prado Dam Embankment and Reach 9 construction.
- Acquired 55 additional acres of property in the Prado Basin.
- Managed 195 acres of property in Riverside and San Bernardino counties acquired for the SAR and Prado Dam Projects.
- Entered into Agreement (No.D99-117) with the Orange County Water District acquiring Santiago Creek-Bond Pits right-of-way.

**FLOOD CONTROL DIST - CAPITAL** - Provide overall Project Management and Coordination with U.S. Army Corps of Engineers on requirements to meet SAR and Prado

Dam Project construction schedule. Endeavor to obtain additional funding to complete SAR projects including Prado Dam.

**Ten Year Staffing Trend Highlights:**

- Staff is not budgeted within this budget unit.

**Budget Summary****Final Budget and History:**

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	56,567,275	72,802,437	65,178,324	65,695,264	516,939	0.79
Total Requirements	11,170,451	72,802,437	8,996,492	65,695,264	56,698,771	630.23
Balance	45,396,824	0	56,181,831	0	(56,181,831)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Flood Control District - Capital in the Appendix on page 560.

**Highlights of Key Trends:**

- Seek additional funding to complete the SAR and Prado Dam Projects.
- Complete construction of SAR and Prado Dam modifications.
- Accept maintenance responsibility for Seven Oaks Dam, Lower Santa Ana River, and other improvements as construction is completed.

## 405 - HARBORS, BEACHES AND PARKS CSA No. 26

### Operational Summary

#### Description:

PFRD provides regional recreational facilities and manages historical and natural resources. The Department operates a countywide system of 12 regional parks, five wilderness parks, three nature preserves, nine beaches, three harbors, 300 miles of recreational trails, and seven historical sites. Resources that PFRD manages include 38,000 acres of native habitat lands, the County's archeological and paleontological collections and the Orange County Zoo.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	45,893,994
Total Final FY 2002-2003 Budget:	65,299,617
Percent of County General Fund:	N/A
Total Employees:	247.00

#### Strategic Goals:

- HBP- Provide Regional Recreational Facilities and Manage Historical and Natural Resources.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>DEVELOP HBP STRATEGIC PLAN BY JUNE 2003, COMPLETING 75% OF THE PLANNING PROCESS.</b> <b>What:</b> Long range parks plan for the County. <b>Why:</b> This will help determine facilities to be developed and the financing needed.	Will complete 75% of the Strategic Plan.	Complete the Strategic Plan.	On target.
<b>ESTABLISH THE PLANNING, DESIGN AND PERMITTING PROCESS FOR THE MULTI-YEAR DANA POINT PROJECT.</b> <b>What:</b> Dana Point Harbor revitalization project. <b>Why:</b> This plan will determine what, how, and when capital projects will be done.	Will establish the process.	Not applicable.	On target.
<b>MEET ESTABLISHED MAINTENANCE STANDARDS IN REGIONAL PARKS.</b> <b>What:</b> Maintenance of park facilities. <b>Why:</b> The maintenance of the parks provides a safe and clean environment for the public.	Will meet established maintenance standards.	Same.	On target.
<b>ACQUIRE 500 ACRES OF NEW PARK LAND/ OPEN SPACE AND ADD 10 MILES OF RIDING, HIKING AND BIKE TRAILS.</b> <b>What:</b> Land acquisition plan for parks and trails. <b>Why:</b> Acquisitions are necessary to implement construction and completion of parks general plan.	Will acquire 588 acres and added 6.8 miles of trails.	Similar.	On target.

## Key Outcome Measures: (Continued)

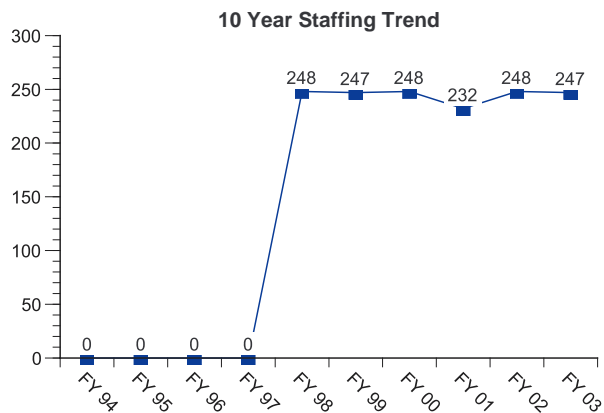
Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>IMPLEMENT NCCP PROGRAM REQUIREMENTS WHICH INCLUDES THE RESTORATION OF NATURAL HABITAT.</b> <b>What:</b> Land restoration projects. <b>Why:</b> Provides the public with a natural habitat.	Will restore 28 acres, remove 622 acres of non-native vegetation and plant 1,642 native trees.	Similar.	On target.
<b>PROVIDE INTERPRETIVE PROGRAMMING TO 240,000 CLIENTS.</b> <b>What:</b> Provide natural interpretive programs to the public. <b>Why:</b> Provides for an educational experience about the County natural habitat to the public.	Will provide programming to 210,600 clients.	Same.	On target.
<b>ENHANCE COUNTY HOME PAGE OF RECREATIONAL FACILITIES AND PROGRAMMING WITH MONTHLY UPDATES.</b> <b>What:</b> Enhancement to HBP Web Page. <b>Why:</b> To give clients an enhanced Web page of regional park events.	Will complete planned home page enhancements.	Same.	On target.
<b>OBTAIN \$500K IN GRANT FUNDING &amp; \$100K IN CORPORATE SPONSORSHIP FOR REGIONAL FACILITY DEVELOPMENT.</b> <b>What:</b> Additional funding to support operations, maintenance, and development of parks. <b>Why:</b> To maintain a safe environment for the public.	Will obtain \$460,000 in grant funding and \$200,000 in corporate sponsorship.	Similar.	On Target.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Began construction of ADA projects in various parks.
- Completed design analysis of the Irvine and O'Neill Park Sewer Conversion Capital Projects.
- Completed Talbert Nature Preserve Wetlands Restoration Project.
- Completed Upper Newport Bay - Back Bay Slope Stabilization Project.
- Completed Orange County Zoo Office Expansion Project.
- Completed first full year of operations at Upper Newport Bay Interpretive Nature Center.
- Completed first year of operations at the Ladera Ranch Sports Complex.

**HARBORS, BEACHES & PARKS** - This budget funds the operation and maintenance of the County's Regional Harbors, Beaches & Parks system. This fund also finances some capital projects at Dana Point Tidelands and Newport Tidelands depending on priority of projects and availability of funding. Tidelands revenues are used to reimburse this fund for those projects as funds are available.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Public Facilities & Resources Department (PFRD) came into existence in Fiscal Year 1997-1998. The Harbors, Beaches, & Parks Division included 248 staff positions at that time.
- Harbors, Beaches & Parks current staff level is 247 positions.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Harbors, Beaches & Parks Fund (Agency 405) will support the PFRD/Watershed & Coastal Resources Function (Fund 100-Agency 034) Strategic Priorities Plan in Fiscal Year 2002-2003. The Harbors, Beaches & Parks Fund is budgeted to contribute approximately \$1,443,000 to various Watershed Strategic Priority projects. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs. These funds will also be used to coordinate compliance with the Federal Total Maximum Daily Load (TMDL) for impaired waters.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	248	247	247	0	0.00
Total Revenues	53,288,514	73,905,967	54,899,227	65,299,617	10,400,389	18.94
Total Requirements	47,135,204	73,905,967	48,524,053	65,299,617	16,775,563	34.57
Balance	6,153,310	0	6,375,174	0	(6,375,174)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Harbors, Beaches and Parks CSA No. 26 in the Appendix on page 561.



## 458 - COUNTY SERVICE AREA #4 - LEISURE WORLD

### Operational Summary

#### Description:

Provide for development and maintenance of local public parks, residential street sweeping and road related landscape maintenance.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	344,252
Total Final FY 2002-2003 Budget:	356,636
Percent of County General Fund:	N/A
Total Employees:	0.00

**CSA #4 LEISURE WORLD** - This fund appropriates revenue for landscape maintenance, park operations and maintenance, and street sweeping services in a previously unincorporated area.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Changes Included in the Base Budget:

This fund is expected to be eliminated in the next fiscal year. The City of Aliso Viejo annexed the remainder of the CSA and County responsibility will end on June 30, 2002.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	822,884	689,376	1,059,014	356,636	(702,378)	-66.32
Total Requirements	88,909	689,376	352,601	356,636	4,034	1.14
Balance	733,975	0	706,412	0	(706,412)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Service Area #4 - Leisure World in the Appendix on page 568.

### Highlights of Key Trends:

- County responsibility for this County Service Area will end on June 30, 2002.

## 459 - NORTH TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DISTRICT

### Operational Summary

#### Description:

Provide for the acquisition, development and maintenance of local public parks and equestrian trails, and road-related landscape maintenance.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	109,432
Total Final FY 2002-2003 Budget:	1,009,834
Percent of County General Fund:	N/A
Total Employees:	0.00

**N/TUSTIN LNDSCP/LTG ASMT DST** - This fund appropriates revenue for park landscape, equestrian trails and road related maintenance in an unincorporated area.

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	986,900	985,807	1,030,236	1,009,834	(20,402)	-1.98
Total Requirements	266,804	985,807	320,530	1,009,834	689,303	215.05
Balance	720,096	0	709,706	0	(709,706)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: N. Tustin Landscape & Lighting Assessment Dist. in the Appendix on page 569.

## 468 - COUNTY SERVICE AREA #13 - LA MIRADA

### Operational Summary

#### Description:

Provide for residential street sweeping and maintenance of local collector sewers.

**CSA #13 LA MIRADA** - This fund appropriates revenue for sewer maintenance and street sweeping services in an unincorporated area.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	5,555
Total Final FY 2002-2003 Budget:	6,873
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	13,899	6,534	6,814	6,873	58	0.86
Total Requirements	10,345	6,534	5,555	6,873	1,317	23.72
Balance	3,554	0	1,259	0	(1,259)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Service Area #13 - La Mirada in the Appendix on page 570.

## 475 - COUNTY SERVICE AREA #20 - LA HABRA

### Operational Summary

#### Description:

This fund appropriates revenue for sewer maintenance services in an unincorporated area.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	426
Total Final FY 2002-2003 Budget:	13,065
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	18,896	13,703	16,751	13,065	(3,686)	-22.01
Total Requirements	10,973	13,703	7,354	13,065	5,710	77.66
Balance	7,923	0	9,397	0	(9,397)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Service Area #20 - La Habra in the Appendix on page 571.

## 477 - COUNTY SERVICE AREA #22 - EAST YORBA LINDA

### Operational Summary

#### Description:

This fund appropriates revenue for park landscape maintenance services of a local public park in an unincorporated area.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	21,970
Total Final FY 2002-2003 Budget:	53,902
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	34,667	33,790	35,941	53,902	17,960	49.97
Total Requirements	32,848	33,790	42,719	53,902	11,182	26.18
Balance	1,819	0	(6,778)	0	6,778	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: County Service Area #22 - East Yorba Linda in the Appendix on page 572.

## 506 - IRVINE COAST ASSESS. DISTRICT 88-1 -CONSTRUCTION

### Operational Summary

#### Description:

Construct and/or acquire road improvements funded from bond proceeds issued on behalf of the Assessment District.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	24,630,510
Total Final FY 2002-2003 Budget:	32,751,992
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	29,634,123	33,299,720	31,706,677	32,751,992	1,045,314	3.30
Total Requirements	1,227,962	33,299,720	24,651,636	32,751,992	8,100,355	32.86
Balance	28,406,161	0	7,055,041	0	(7,055,041)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Irvine Coast Assess. District 88-1 -Construction in the Appendix on page 573.

#### Highlights of Key Trends:

- Projects related to this fund have been completed. Excess cash in the fund will be used to retire the bonds early. Once this is accomplished the fund will be closed and eventually deleted.

# 120 - PUBLIC LIBRARY

## Operational Summary

### Mission:

To enhance the quality of life for County residents by offering access to information and other resources for leisure opportunities, learning, business and community interaction.

### Strategic Goals:

- Provide opportunities for the population to meet their learning and leisure needs through a variety of media and delivery systems.
- Promote and facilitate community interaction in learning, cultural and recreational activities.

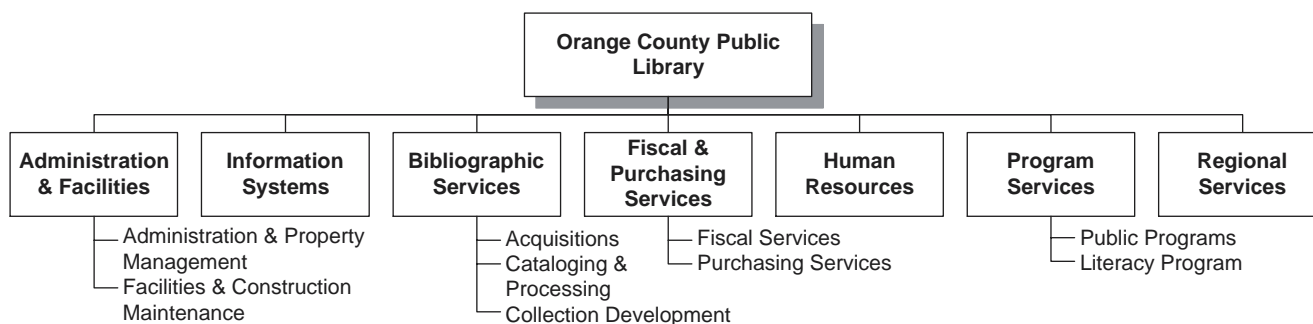
### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>CUSTOMER SATISFACTION AND UTILIZATION OF LIBRARY SERVICES</b> <b>What:</b> Measures use of library products and services. Conduct surveys Measures use of technology <b>Why:</b> Quantifies delivered service Identifies customer needs and desires Identifies ROI in technology	6,687,000 items borrowed (+7%); 103,690 children attended programs (+9%) Develop survey instrument and methodology 181,650 hours of internet access provided; 254,400 remote website connections	6,887,617 items borrowed(+3%); 108,875 children attend programs (+5%) Conduct survey, review and report results 200,000 hours of internet access provided (+10%); 445,200 remote website connections (+75%)	Both measures are increasing. Goals are likely to be exceeded. Commencing process Public response exceeding projections
<b>PROGRESS IN CONSTRUCTING LIBRARY FACILITIES ON SCHEDULE AND WITHIN BUDGET</b> <b>What:</b> Measures our ability to complete construction plans providing facilities for library activity <b>Why:</b> Growing population requires increased library infrastructure	Foothill Ranch Branch design completed and construction started; Wheeler Branch design completed	Open Foothill Ranch Branch for service; begin construction on Wheeler Branch	Foothill Ranch branch delayed due to re-bidding requirement; Wheeler Branch on schedule

### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Circulation increase of 7% in number of items borrowed
- Service hours extended at La Habra and San Clemente branches
- 24/7 "LION" online reference service established for users
- First cycle of Performance Incentive Program completed
- Successfully completed \$300,000 Proposition 10 grant project enhancing school readiness for children 0-5 years old

## Organizational Summary



**ADMINISTRATION & FACILITIES** - Manages maintenance and construction of all library facilities, real estate functions, overall administrative coordination, delivery service, warehouse operations, and support for the Library Advisory Board.

**INFORMATION SYSTEMS** - Provides support, planning and management of all library computer systems including internal operations network, interfaces with other County systems, circulation and other internal mainframe functions, patron access catalog and remote access databases, public and staff internet access, and library web site.

**BIBLIOGRAPHIC SERVICES** - Manages evaluation and selection of books, databases, periodicals and all other library materials, orders and receives all new materials, catalogs and processes new materials for use in libraries and repairs damaged materials.

**FISCAL & PURCHASING SERVICES** - Manages the overall financial operations of the Library, including the budget, purchasing, developer agreement management, operational allocation process, payroll and accounts payable.

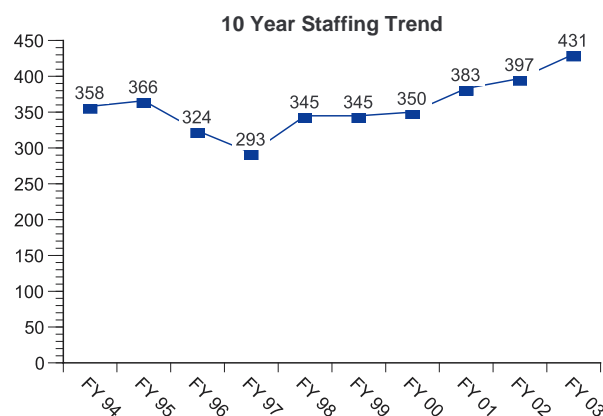
**HUMAN RESOURCES** - Manages all personnel and HR functions including recruitments, Performance Incentive Program coordination, staff training, disciplinary actions and departmental Labor Management Committee.

**PROGRAM SERVICES** - Provides coordination of Children's Services program systemwide, public relations, Orangewood Children's Home Library, Adult Literacy program and Adult Services planning.

**REGIONAL SERVICES** - Manages operations of 29 branch libraries, public services provided in libraries, and coordination of construction/renovation projects.

**COUNTY LIBRARIAN** - Provides overall management of department and serves as staff to Library Advisory Board.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Staffing generally decreased until FY 97-98 because of the loss of funds due to the ERAF shift. Staffing has expanded starting in FY 97-98 with the addition of more days per week of public service at all branch libraries, with the assumption of the decentralized functions of Human Resources, Purchasing, Facilities maintenance and construction and the addition of new libraries in Aliso Viejo, Costa Mesa, Laguna Hills and Foothill Ranch



## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The Orange County Public Library will continue to work with the Library Advisory Board to provide and develop services responsive to the communities we serve. Staff will continue to implement and refine the organizational measurement program (ROG) and the employee pay for performance program (PIP). In line with the Strategic Plan, the Library will continue to operate solely through dedicated Library Fund revenue sources, maintaining a Net County Cost of zero.

### Changes Included in the Base Budget:

The Library is requesting additional positions to provide for the opening of the Foothill Ranch Branch in December 2002 and to provide for the planning and pre-opening duties of the Wheeler Branch in 2003.

### Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
Impact of May Revise Amount:\$ (483,564)	Reflects the impact of the State's May budget revise on OCPL	N/A	120-001

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	397	423	431	8	1.89
Total Revenues	26,735,407	30,011,886	28,784,707	28,381,933	(402,774)	-1.40
Total Requirements	25,681,317	30,011,886	28,099,845	28,381,933	282,087	1.00
Balance	1,054,090	0	684,862	0	(684,862)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Public Library in the Appendix on page 517.

### Highlights of Key Trends:

- Utilization of library services and resources by the public continues to increase. Circulation count of items borrowed from libraries will set an all time record for the third year in a row of some 6.7 million items in FY 02, with an anticipated increase of 3% projected in FY 03

due to a reduction in the new book budget due to revenue losses. Access to library collections, databases and services from remote locations via the library website are increasingly significantly as more functionality is added to the site, at an expected rate of increase of 75% in FY 03.

## Budget Units Under Agency Control

No.	Agency Name	Administration & Facilities	Information Systems	Bibliographic Services	Fiscal & Purchasing Services	Human Resources	Program Services	Regional Services	County Librarian	Total
119	Public Library - Capital	7,434,686	0	0	0	0	0	0	0	7,434,686
120	Public Library	5,383,655	855,521	4,350,246	1,155,690	315,855	835,739	15,265,513	219,714	28,381,933
	Total	12,818,341	855,521	4,350,246	1,155,690	315,855	835,739	15,265,513	219,714	35,816,619

## 119 - PUBLIC LIBRARY - CAPITAL

### Operational Summary

#### Description:

Capital Projects Fund for Public Library

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	1,364,698
Total Final FY 2002-2003 Budget:	7,434,686
Percent of County General Fund:	N/A
Total Employees:	0.00

#### Strategic Goals:

- Promote and facilitate community interaction in learning, cultural and recreational activities.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>PROGRESS IN CONSTRUCTING LIBRARY FACILITIES ON SCHEDULE AND WITHIN BUDGET.</b> <b>What:</b> Measures our ability to complete construction plans providing facilities for library activities. <b>Why:</b> Growing population requires increased library infrastructure.	Construction of Foothill Ranch Branch started. Design of Wheeler branch completed. Expansion of Heritage Park Branch completed.	Construction of Foothill Ranch Branch completed. Construction of Wheeler Branch started. Expansion of El Toro branch completed.	Foothill Ranch Branch has been delayed due to re bidding requirements. Wheeler Branch is on schedule.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Construction of Foothill Ranch Branch is 50% complete. The Wheeler Branch permitting process is completed.

**PUBLIC LIBRARY - CAPITAL** - Construction of Public Library capital projects.

### Budget Summary

#### Changes Included in the Base Budget:

Foothill Ranch Branch, Irvine Heritage Park expansion, Irvine Wheeler Branch and El Toro Branch expansion will continue from FY 2001-02.

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	2,387,480	3,453,881	3,267,537	7,434,686	4,167,148	127.53
Total Requirements	776,990	3,453,881	1,289,409	7,434,686	6,145,276	476.60
Balance	1,610,490	0	1,978,127	0	(1,978,127)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Public Library - Capital in the Appendix on page 516.

# 15G - HOUSING/COMMUNITY DEVELOPMENT

## Operational Summary

### Mission:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

### Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.
- Enhance the livability of the County's target neighborhoods.
- Strengthen economic viability of communities.

### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>REDUCE PERCENT OF AFFORDABLE HSG OPPORTUNITIES NEEDED AS ID BY THE REGIONAL HOUSING NEEDS ASSESSMENT</b> <b>What:</b> AHO's include creating new and preserving existing affordable housing units and adding housing vouchers. <b>Why:</b> Increasing affordable housing opportunities will help bridge the gap in the OC housing market.	H&CD committed up to \$10M for the development of 700 affordable housing units through a Notice of Funding Availability. HUD awarded H&CD 820 additional Section 8 Housing Vouchers for over \$5M annually in monthly rental assistance payments.	Targets include issuing a \$13M Notice of Funding Availability (NOFA) for the development of 850 affordable housing units and applying for and receiving additional Housing Vouchers from HUD for rent subsidies.	Over two years, H&CD increased number of housing vouchers by 1,560 and broke ground on housing developments that include 697 affordable units. H&CD projects received more State housing tax credits than any other Calif. Jurisdiction and leveraged \$9.3M investment into over \$115M in new development funds.
<b>LEVEL OF COMMUNITY SATISFACTION WITH NEIGHBORHOOD IMPROVEMENT RESULTING FROM COUNTY REHAB EFFORTS.</b> <b>What:</b> The effect of rehabilitation efforts on a neighborhood in terms of community satisfaction. <b>Why:</b> Home and neighborhood enhancement contributes to higher levels of community satisfaction and pride.	H&CD's Neighborhood Preservation Program and various other County-funded city and non-profit run programs rehab'd 236 units (mobile homes, single-family homes, shelter facilities) countywide. H&CD completed a 35 single-family rehab project in target neighborhoods of Rustic Lane and Ball & Perdido.	H&CD targets include providing loans and grants through the Neighborhood Preservation Program to repair 50 mobile homes and 35 single family homes for Seniors and working families countywide and completing construction of 4 public works projects worth over \$1M in capitol improvements.	H&CD has helped the Community Revitalization Program increase it's presence in the County islands with it's extensive community contacts and federal funding assistance for public infrastructure improvements through the Application Review (ARC) process making a difference in County island neighborhoods.

### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	17,523,228
Total Final FY 2002-2003 Budget:	36,393,483
Percent of County General Fund:	N/A
Total Employees:	140.00

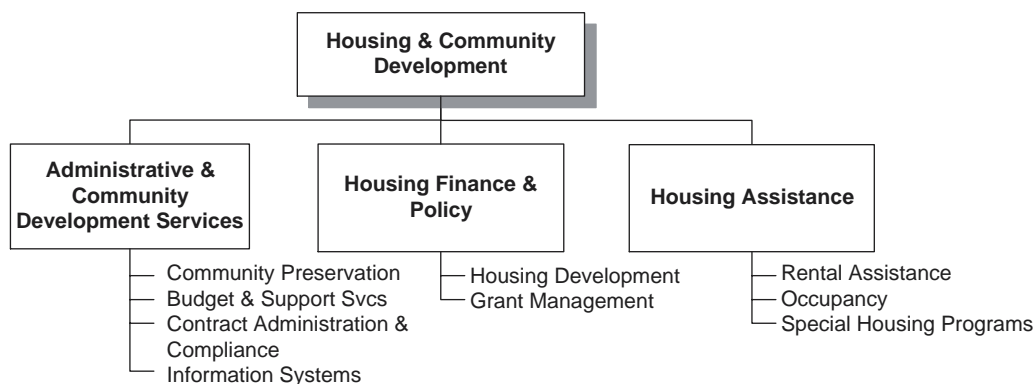
## Key Outcome Measures: (Continued)

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>THE PERCENTAGE OF PEOPLE AND FAMILIES ON THE FSS PROGRAM WHO BECOME SELF-SUFFICIENT.</b> <b>What:</b> FSS teaches job skills that enable clients to gain employment and move off public assistance. <b>Why:</b> As people become self-sufficient, they are able to move off of all public assistance.	H&CD's Family Self-Sufficiency (FSS) program helped 10 people become self-sufficient during FY 01-02. Through the use of the CalWORKs program people who are considered "hard-to-house" have been able to find housing.	H&CD targets include the submission of a grant application for the continued funding of the FSS administration grant and to continue working with SSA to further enhance the CalWORKs program.	FSS Program objective is for clients to be independent of welfare assistance and with 10 participants becoming self-sufficient we know that this goal is attainable. HCD has provided housing assistance to a large number of clients faster than ever, resulting in the opening of the waiting list in June 2001.
<b>THE PERCENTAGE DECREASE IN THE NUMBER OF HOMELESS RECEIVING SHELTER AND SUPPORT SERVICES.</b> <b>What:</b> It is estimated that there are over 19,000 homeless persons in Orange County on any given night. <b>Why:</b> As we address a lack of affordable housing, we still need to provide support services to homeless persons.	H&CD received \$8.77M through the 2001 Homeless Continuum of Care Application process (H&CD largest award to date), resulting in 59 new permanent housing units for 110 individuals, preserved 208 transitional beds & 3111 support services for 1266 families and 558 single individuals.	H&CD targets include continuing to play a strong role in the County's Continuum of Care process, which address homeless issues through the Homeless Assistance grant process and the Cold Weather Armory Emergency Shelter program.	Approximately 20 non-profit service providers and agencies will be funded through Urban County Program, SHP and OCHA Housing Support Services Program to provide housing related services to approximately 2,600 homeless during next year. H&CD will work with various organizations to provide assistance to the County's homeless.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Ground breaking began on the housing projects selected for funding during the 1999 Request for Proposal (RFP) and 2000 Affordable Housing Notice of Funding Availability (NOFA) process. These projects will result in the creation of 697 affordable housing units throughout the County.
- Affordable homeownership programs include the continuation of the Extra-Credit Teacher Home Purchase Program and the Mortgage Assistance Program. Twenty-nine teachers were assisted in purchasing homes through the Teacher Home Purchase Program in 2001 and twice as many are expected to be assisted in year 2 of the program. New programs developed and funded in 2001 include the Homeownership for the Recruitment/Retention of Employees (HIRE) and CAL-Home Programs.
- OCHA assisted over 23,000 Orange County residents (8,300 households) with monthly rent payments through the Section 8 Rental Assistance Program, disbursing over \$65 million in annual rental subsidies to more than 3,500 participating property owners. In addition, OCHA successfully competed for additional rental assistance funding that will expand the program by 10% and serve more than 800 additional households.
- During 2001, thirty-three at-risk families were referred from the Social Services Agency (SSA), successfully leased in housing units, and reunited with their children. The Family Unification Program, a cooperative effort between SSA and H&CD has assisted a cumulative total of 228 households and reunited 501 children with their parents since the program started in 1997.
- H&CD, in cooperation with the CEO Homeless Coordinator and the Continuum of Care Leadership cabinet, was successful in receiving almost \$8.8 million in funds through the 2001 Homeless Continuum of Care Application Process. This resulted in funding for 59 new permanent housing units for 110 individuals and preserved 208 transitional beds and 3,111 supportive service opportunities for 1,266 families and 558 single individuals. To date, this is the largest allocation received by H&CD through this process.

## Organizational Summary



**EXECUTIVE ADMINISTRATION** - Plans, organizes, administers and directs all functions related to the implementation of policies, programs and projects developed under legal authority granted to the Orange County Housing Authority, Community Development Block Grant and other similar federal, state and Orange County Development Agency funded programs. Includes Human Resources which is responsible for establishing an appropriate framework within the department so it can competitively attract and retain the best qualified employees while meeting appropriate laws and regulations and provides assistance in employee relations to ensure personnel standards and quality assurance.

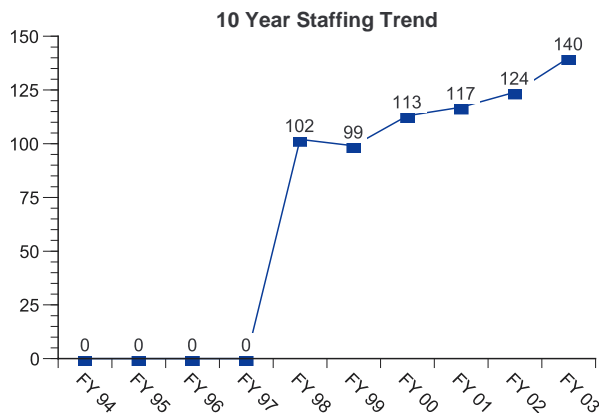
**ADMIN & COMMUNITY DEVELOPMENT SVCS** - The Administrative & Community Development Services Division oversees departmental community relations activities as well as revitalization activities such as public works, infrastructure improvements, and housing rehabilitation. This Division also administers subrecipient contracts funded through the CDBG, HOME, ESG, and NDAPP. Additionally this Division is responsible for environmental services, purchasing, facilities management, budget, payroll, petty cash, computer services, and general support services. It is also responsible for overall H&CD program compliance. The Division performs audit, compliance and legal notification procedures required by HUD for the department.

**HOUSING FINANCE & POLICY** - The Housing Finance & Policy division is new to H&CD in 2001. It oversees new housing development in the creation of multi-family rental, transitional, and homeownership projects for lower income households. As funding shrinks, this Division is charged with developing way to leverage available funding sources. This new division also is responsible for legislative analysis and grants management. Grants Management included the Application Review and Homeless Assistance Programs application processes which are competitive processes by which funding is allocated to projects.

**HOUSING ASSISTANCE** - The Housing Assistance Division consists of the Rental Assistance/Leasing Section, the Residency/Occupancy Section and the Special Housing Programs Section. Primarily, this division performs the operations of the Housing Authority. The Housing Authority is responsible for the disbursement of approximately \$65 million in housing subsidies annually which is not reflected in the County's budget figures. In addition, the Housing Assistance Division operates special programs such as Family Self-Sufficiency, which is designed to assist families participating in the Section 8 Rental Assistance Program to become financially independent from public assistance; Family Unification, which assists in the reunification of children with their parents; and the special needs homeless

individuals or families under the HUD Continuum of Care Program. The Housing Assistance Division has experienced growth over the past few years primarily resulting from the award of additional housing vouchers from HUD for the Section 8 Rental Assistance Program.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Prior to FY 97/98, H&CD was a division of EMA with all of the associated positions budgeted in EMA's budget.
- H&CD staff expanded in FY 99/00 due to an organizational assessment performed by the CEO and an outside consultant. Staff was added to the following sections: 13 to the Housing Assistance activity and 1 to the Program Support activity.
- H&CD staff increased by 4 in FY 00/01 due to the expansion of the Shelter Plus Care program (1), and the creation of CalWORKs program (3). Both of these programs are in the Housing Assistance Division.
- In FY 01/02 H&CD staff increased by 7 due to an award of 740 additional housing vouchers for the Section 8 program. Six staff members were added to the Housing Assistance section and 1 was added to the Program Support section.
- In September 2001 H&CD staff increase by 19 full time positions (12 new & 7 converted from extra help). This increase was due to an award of 820 additional housing vouchers for the Section 8 program and the implementation of a successful in-house inspection program. 17 of the staff members were added to the Housing Assistance section and 2 were added to the Administrative & Community Development Services section.

- In FY 02/03 4 positions are being deleted due to the rising costs of staff, the slight decline in block grant funding and the fixed amount of administration fees received from the Federal Government to administer programs such as the Section 8 program.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The budget is substantially lower than anticipated in the 2002 Strategic Plan due to a change in the allocation of H&CD's redevelopment allocation. In the FY 2002-2003 budget, H&CD did not appropriate redevelopment funds in the 15G Operational Budget. The project funds are appropriated in the funds from which they will be expended. This resulted in a budget reduction of over \$12 million. H&CD is also continuing to net the negative fund balance available against the prior and current year's encumbrances. H&CD's carry forward and negative fund balance is due, in part, to an increase in multi-year projects budgeted and encumbered at 100% in the first year. Multi-year projects budgeted this way include those funded by the SuperNOFA Homeless Assistance grant process and the block grant programs. H&CD continues to budget projects this way to facilitate the the County's progress toward its strategic goal in addressing housing, homelessness, and infrastructure needs.

Affordable Housing Development continues as one of the Board of Supervisor's top 10 Strategic Priorities for Year 2002. It was also identified as Orange County's highest priority need in the 2001/02, two year ARC funding process. As a result, over \$2.5 million in new block grant funding was allocated toward this program in FY 2002-2003. Combined with over \$5 million appropriated in fund 117 and the Redevelopment and general fund commitments to affordable housing development, over \$33 million is available for new projects in FY 2002/2003. H&CD will continue to solicit projects through a Request for Proposal (RFP) and Notice of Funding Availability (NOFA) for development of affordable rental housing. The goal of the RFP and NOFA is to promote the development of permanent affordable rental housing for Orange County's very-low and low-income households by providing favorable financing.



## Changes Included in the Base Budget:

Due to the rising costs of staff, the slight decline in Block Grant funding, and the fixed amount of administrative fees received, it was necessary for H&CD to reduce positions in the FY 02/03 Budget. Four positions are being deleted; two of them are vacant and two of them will involve layoffs unless the incumbents are able to transfer/promote to other departments. Two additional positions are being "defunded" for FY 02/03. The incumbents were transferred to other positions and H&CD must carry the vacant positions so that employees currently employed in Limited Term Positions have a position when the Limited Term Program they support has ended.

The Proposed Budget includes \$6.5 million in new block grant funding and \$12.8 million in carry forward block grant and SuperNOFA funding for projects currently in progress and not anticipated to be completed by the end of FY 01/02. The grant amount is down slightly from the FY 01/02 amount of \$7 million in block grant and 12.9 million in carry forward.

The continued increase of Board emphasis on the development of affordable housing has resulted in an increased allocation of redevelopment funds. Project funds are not budgeted in fund 15G as they were in prior years. The almost \$19 million allocated for rehabilitation and affordable housing development is budgeted throughout the various redevelopment funds. Fund 15G only reflects the administrative and direct project costs that will be reimbursed to 15G over the year.

Although H&CD received \$8.77 million for the 2001 SuperNOFA Continuum of Care application, none of the awarded amount is reflected in H&CD's FY 02-03 Budget. This is due to the continued submission of an Associated Application. This type of Application allows individual applicants to contract directly with HUD for their funding. Funds are still appropriated in fund 15G for administration of the 1998 and 1999 contracts and in fund 117 for the ongoing planning and reporting responsibilities of the SuperNOFA applications and the Continuum of Care system.

In September 2001, H&CD was awarded an additional 820 rental assistance Housing Vouchers by HUD for a revised allocation of 8,989 vouchers. This resulted in an additional 12 positions, the conversion of 7 extra help positions to full time positions and an increase of \$490,843 in the Proposed Budget. Since then H&CD has also received an additional 40 enhancement vouchers for Garden Towers and 74 enhancement vouchers for Surfside Villas, bring the total number of vouchers to 9,103.

## Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
<b>Homeless Program Coordinator Amount:\$ 141,643</b>	Transfer of the Homeless Program Coordinator and her associated programs from the CEO's office.	Transfer of the Homeless Program Coordinator and her associated programs from the CEO's office.	15G-001
<b>Position Changes Amount:\$ (339,247)</b>	Deletion of four positions and "defunding" of two positions.	Deletion of four positions and "defunding" of two positions.	15G-002

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	124	143	140	(3)	-2.10
Total Revenues	7,785,771	48,301,040	7,483,153	36,393,483	28,910,329	386.34
Total Requirements	15,352,399	48,301,040	18,130,114	36,393,483	18,263,368	100.73
Balance	(7,566,628)	0	(10,646,961)	0	10,646,961	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Housing/Community Development in the Appendix on page 529.

## Highlights of Key Trends:

- As the supply of affordable new and resale housing decreases there is additional pressure for programs to address the needs of first time and low to moderate-income homebuyers.
- By the year 2005, the regional housing needs assessment for the unincorporated areas of Orange County calls for 22,687 additional housing units, about 7,000 of which should be affordable to very low and low income households. Of the 7,000 units 2,950 units are needed for low-income households and 4,084 for very low-income households.
- One-third of Orange County residents either pay more than 30% of their income on housing, live in overcrowded units, live far from their workplace, or live in substandard or poorly maintained housing.
- Job and population growth are far outstripping housing supply.
- According to a 1998 study by the Center on Budget and Policy Priorities of 45 major metropolitan areas in the country, Orange County has the highest ratio of low-income renter to low-cost housing units, with 4 low-income renters for every one low-cost unit.
- Many struggling families and seniors are living in motels because those units do not require deposits and rent week to week.
- It is estimated that there are over 19,000 homeless persons in Orange County on any given night.
- As rents increase, landlords are less likely to participate in Section 8 rental assistance programs unless HUD subsidies are commensurate with market rates.

## Budget Units Under Agency Control

No.	Agency Name	Executive Administration	Admin & Community Development Service	Housing Finance & Policy	Housing Assistance	Total
117	OC Housing Authority- Operating Reserve	26,453	637,359	5,121,338	2,003,222	7,788,372
15G	Housing/Community Development	2,610,866	13,766,649	11,185,955	8,830,013	36,393,483
15U	Strategic Priority Affordable Housing	0	0	13,800,000	0	13,800,000
	Total	2,637,319	14,404,008	30,107,293	10,833,235	57,981,855

# 117 - O.C. HOUSING AUTHORITY - OPERATING RESERVES

## Operational Summary

### Description:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

### Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.

### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- In June 2001, H&CD released a \$10 million Notice of Funding Availability (NOFA) for the purpose of funding new affordable rental housing in Orange County. To date, H&CD has received applications for 385 units of new affordable housing.
- With an investment of \$9.3 million, H&CD funded projects will result in \$115 million in public and private funding for the construction of affordable housing in Orange County. Orange County also received more State housing tax credits than any other jurisdiction over the past year.
- The Operating Reserve funds the enhancement payments for the Family Self-Sufficiency (FSS) Program. FSS currently has 483 active participants, which exceeds the required participation level of 465 families. Twenty families graduated from the program in 2001 and are now off all public assistance.

**O.C. HSE AUTHORITY/OPER RESERV** - Operating Reserve funds may be used only for the cost of ongoing administration of Housing and Community Development's (H&CD) current Section 8 rental assistance program or for other housing related purposes consistent with state and local law, including the development of affordable housing as approved by the Board.

### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	970,965
Total Final FY 2002-2003 Budget:	7,788,372
Percent of County General Fund:	N/A
Total Employees:	0.00

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The County's Strategic Priorities include the continued development of affordable housing opportunities and enhanced support for the County's Continuum of Care for the homeless. In the FY 02/03 budget, H&CD has allocated over \$4 million for the development of affordable housing opportunities and has continued to allocate funding for the Cold Weather Shelter program.

### Changes Included in the Base Budget:

The FY 2002/2003 Budget includes a \$1,638,452 increase to the Reserves for Housing Assistance Payments (HAP). The increase in HAP Reserves is due to the dramatic increase in Section 8 Rental Assistance Vouchers over the past two years. Also included in this budget is the funding for several continuing projects including the Cold Weather Armory Program, planning budgets for the Supportive Housing and Affordable Housing processes, the Family Self Sufficiency payments, and the Housing Supportive Services contracts.

In addition to the reoccurring costs, the FY 2001/2002 Budget includes an additional \$414,770 to offset the costs of the File Room Expansion, Vehicle Replacement Program, and one time non-recurring expenses. The balance of this fund is allocated to Affordable Housing Development.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	7,868,494	8,240,529	8,115,056	7,788,372	(326,684)	-4.03
Total Requirements	923,065	8,240,529	1,070,947	7,788,372	6,717,424	627.24
Balance	6,945,429	0	7,044,108	0	(7,044,108)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: O.C. Housing Authority - Operating Reserves in the Appendix on page 515.

### Highlights of Key Trends:

- Reference Fund 15G, Housing & Community Development's Operating Budget.

## 15U - STRATEGIC PRIORITY AFFORDABLE HOUSING

### Operational Summary

#### Description:

Fund 15U facilitates the expenditure of general funds and Single Family Housing funds that are dedicated to the creation and preservation of affordable housing in Orange County.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	0
Total Final FY 2002-2003 Budget:	13,800,000
Percent of County General Fund:	N/A
Total Employees:	0.00

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>REDUCE PERCENT OF AFFORDABLE HSG OPPORTUNITIES NEEDED AS ID BY THE REGIONAL HOUSING NEEDS ASSESSMENT</b> <b>What:</b> Affordable Housing Opportunities include creating new and preserving existing affordable housing units. <b>Why:</b> Increasing affordable housing opportunities will help bridge the gap in the OC housing market.	This is a new fund in FY 2002/03 so there are no results for 2001.	Targets include issuing a \$13M Notice of Funding Availability (NOFA) for the development of 850 affordable housing units using funds from 15U and 15G.	Over two years using various funding sources, H&CD broke ground on housing developments that include 697 affordable units. H&CD projects received more State housing tax credits than any other CA jurisdiction and leveraged a \$9.3M investment into over \$115M in new development funds.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Fund 15U was created in FY 02/03 and has no reportable accomplishments for FY 01/02.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Affordable Housing Development continues as one of the Board of Supervisor's top 10 Strategic Priorities for Year 2002. Combined with over funding from fund 117 and the Redevelopment funds, over \$33 million is available for new affordable housing projects in FY 2002/03. H&CD will con-

tinue to solicit projects through a Request for Proposal (RFP) and Notice of Funding Availability (NOFA) for development of affordable rental housing. The goal of the RFP and NOFA is to promote the development of permanent affordable rental housing for Orange County's very-low and low-income households by providing favorable financing.

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	0	13,800,000	13,800,000	0.00
Total Requirements	0	0	0	13,800,000	13,800,000	0.00
Balance	0	0	0	0	0	0.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Strategic Priority Affordable Housing in the Appendix on page 533.

## Highlights of Key Trends:

- Reference Fund 15G, Housing & Community Development's Operating Budget.

## 280 - AIRPORT - OPERATING ENTERPRISE

### Operational Summary

#### Mission:

To plan, direct, and provide high quality aviation services for Orange County in a safe, secure and efficient manner.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	91,293,236
Total Final FY 2002-2003 Budget:	171,262,192
Percent of County General Fund:	N/A
Total Employees:	141.00

Due to accounting methodology changes, the Total Recommended FY 2002-2003 Budget includes \$41,974,686 in Retained Earnings which represents resources invested in undepreciated capital assets

#### Strategic Goals:

- Maintain a safe and secure operating environment for aviation and the traveling public.
- Provide a positive aviation experience to travelers and tenants.
- Operate John Wayne Airport in an environmentally responsible manner.
- Operate John Wayne Airport as a financially efficient and self-supporting aviation facility.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>SUCCESSFULLY PASS THE ANNUAL PART 139 AIRPORT CERTIFICATION INSPECTION.</b> <b>What:</b> Annual inspection conducted by FAA to ensure JWA is being operated in a safe and efficient manner. <b>Why:</b> To maintain a safe operating environment for aviation and the traveling public.	The Annual Part 139 inspection is conducted by the FAA during which time all elements specified in Federal Aviation Regulation (FAR) Part 139 are examined. JWA successfully passed the Annual Part 139 inspection.	Successfully pass the Annual Part 139 Inspection by continuing to perform twice daily airfield inspections and identify, report and correct any items that deviate from FAA specifications.	We have always successfully passed the Annual Part 139 Inspection.
<b>% OF GOOD OR EXCELLENT OVERALL RATING IN THE "AIR TRANSPORTATION USER" AND "AIRPORT TENANT" SURVEYS.</b> <b>What:</b> Surveys conducted to measure passenger/tenant satisfaction with Airport's service and performance. <b>Why:</b> To ensure that our service to customers and tenants meets or exceeds their expectations.	Results of the August 2001 "Airport Tenant" survey indicated that 96 percent of JWA tenants who participated in the survey indicated they were satisfied or very satisfied with their overall relationship with JWA.	Conduct "Air Transportation User" survey to identify client perception of and recommendations for improving Airport facilities and services.	Overall results of 2001 survey indicated that 96 percent of JWA tenants indicated they were satisfied or very satisfied with their overall relationship with JWA.

## Key Outcome Measures: (Continued)

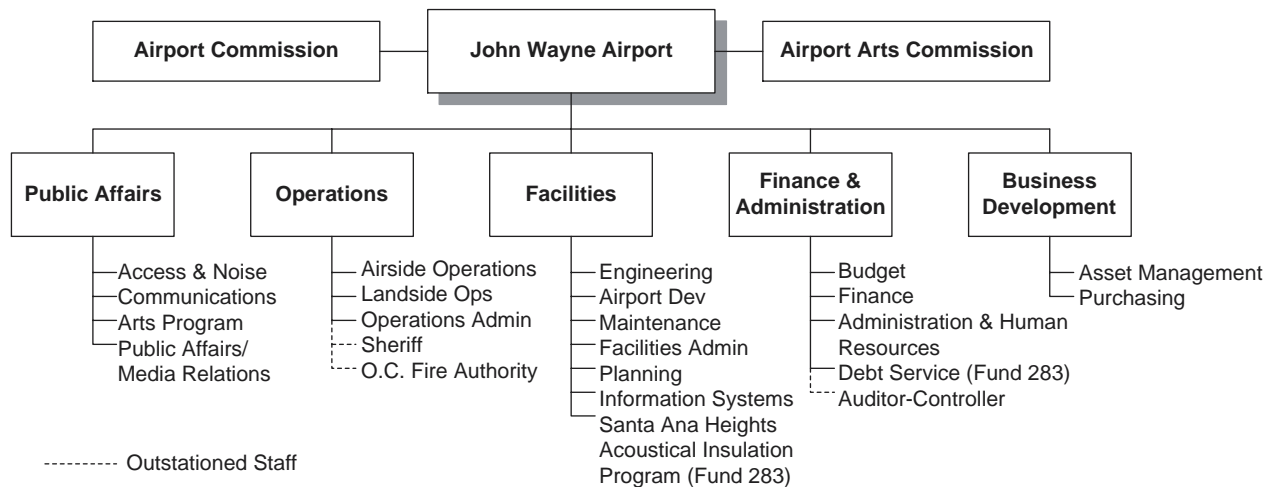
Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>ENFORCE ALL PROVISIONS OF THE PHASE 2 COMMERCIAL AIRLINE ACCESS PLAN AND REGULATION.</b> <b>What:</b> Balances need for airport with desire of community for environmentally responsible operation at JWA. <b>Why:</b> Compliance conveys message that County will completely fulfill its commitment to its residents.	100 percent compliance with Plan allocation provisions.	Continue to maintain 100 percent compliance.	The provisions of the Phase 2 Commercial Airline Access Plan, other than the allocation provisions that have been amended, became effective on October 1, 1990. Since the Plan's implementation, the County has been in 100 percent compliance of its duties and responsibilities.
<b>DEBT SERVICE COVENANT OF AT LEAST 175 PERCENT.</b> <b>What:</b> Requires Airport net revenues each year of at least 125% of debt service requirement for FY. <b>Why:</b> Provides JWA with opportunity to demonstrate to financial community its ability to repay debt.	Successful management of the Airport's rates and charges structure is critical to generate revenue required to fulfill JWA's obligations under the indenture. The debt coverage ratio for FY 00-01 was 224 percent.	Continue to maintain 100 percent compliance.	Since issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the rate covenant imposed by indenture. JWA obtained an initial A+ rating from Fitch on its revenue bonds while maintaining an A rating from Standard & Poors. Also, JWA received an upgrade by Moody's to an Aa3 rating.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- The Airport passed the annual FAA Airport Certification Inspection and all quarterly Security Inspection Audits -- yardsticks by which FAA measures airport safety and security.
- JWA efficiently and effectively implemented all security mandates issued by the FAA following the events of September 11th.
- The John Wayne Airport Tenant Survey conducted in August 2001 demonstrated a high level of tenant satisfaction with Airport services and facilities.
- The Airport's web site continued to be a valuable and much-used source of information for travelers, particularly following September 11th.
- The acoustical insulation of a 182 unit apartment complex was completed and the next phase of insulation for 25 single family homes was initiated.
- The Phase 2 Airline Access Plan was fully enforced.
- JWA maintained its very high bond rating and even received an upgrade from Moody's to Aa3.
- PIP and MPP were fully implemented and Airport managers and staff received appropriate training to make these programs successful.



## Organizational Summary



**PUBLIC AFFAIRS** - Responsible for public information and media relations. Provides implementation and enforcement of Phase 2 Access Plan and Settlement Agreement. Responsible for the interaction with federal, state and local agencies regarding legislation. Coordinates and monitors environmental activities. Oversees the Airport Communications Operator function. Administers the Airport Arts Program.

**OPERATIONS** - Coordinates and administers the general functional activities related to John Wayne Airport including airport security, parking, ground transportation, liaison with commercial airline tenants, fixed-base operations, and aircraft tiedown facilities. Monitors airfield operations including enforcement of Airport rules and regulations. Administers outstationed departments such as the Sheriff-Coroner and Fire Authority for provision of law enforcement, aircraft rescue and firefighting.

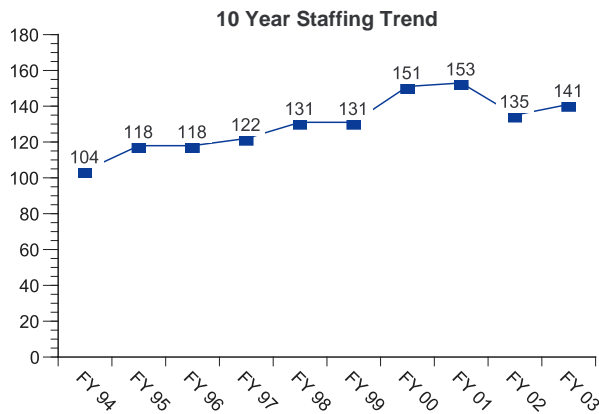
**FACILITIES** - Provides engineering studies and analysis of facility requirements for the Airport. Responsible for all aspects of Airport facilities maintenance and information systems. Provides planning and project management of the capital development program, including the design and construction of Airport facilities. Ensures compliance of Airport facilities with environmental regulations. Prepares grant applications for federal financial assistance under the FAA Airport Improvement Program. Provides staff support to the Airport Land Use Commission.

**FINANCE & ADMINISTRATION** - Responsible for Airport finance, budget, debt management, staff services, administrative support and human resources. Provides staff support to the Airport Commission. Responsible for all airport rates and charges preparation and coordinates Airline Affairs Committee meetings. Includes outstationed Auditor-Controller staff who provide accounting and financial services, and monitor Airport revenue and expenditures.

**BUSINESS DEVELOPMENT** - Coordinates and is responsible for concession planning and revenue development activities and the negotiation and administration of leases, licenses, concession agreements and easements. Responsible for the acquisition and sale of real property and related property appraisal and condemnation issues. Coordinates with the Finance and Administration division on the annual audit and record survey of Airport tenants. Responsible for all aspects of Airport procurement including preparing bid/proposal solicitations, issuing agency contracts, interfacing with vendors, maintaining a record of department purchases and conducting internal procurement value analysis. Administers the Disadvantaged Business Enterprise (DBE) Program.

**JOHN WAYNE AIRPORT - OPERATING** - Includes the office of the Airport Director and outstationed County Counsel who provides legal counsel on County and Airport matters.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Six new positions were added in FY 02-03, including one Information Systems Manager, one Staff Specialist, two Electronics Technicians, one Staff Analyst III, and one Real Property Agent II.
- The 18 positions which were added in FY 99-00 for Interim Use were transferred mid-year FY 00-01 to the LRA (Fund 13K).
- Two positions were transferred mid-year FY 99-00, one from the CEO and one from PDS; these are reflected in FY 00-01 position total.

■ In FY 99-00 one position was transferred from the Auditor-Controller to John Wayne Airport to more appropriately reflect finance-related activities performed; one position was added to help manage the increased requirements in the Information Systems section; 18 positions were added for Interim Use pending further Board direction.

■ In FY 97-98 four positions were deleted and 13 positions were added to reflect the impact of the County's decentralization of Real Property, Human Resources, Facilities, County Counsel and Purchasing activities.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no monies from the County General Fund. Revenues are utilized to operate the Airport, provide for repayment of revenue bonds, fund facility capital improvement or maintenance projects, and support aviation planning.

### Changes Included in the Base Budget:

The requested budget includes an additional \$8.7 million for Sheriff security costs as a result of the events of September 11th and rate increases for both insurance and utilities.

## Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
<b>John Wayne Airport - Facilities</b> Amount: \$ 96,818	Add one Information Systems Manager position.	Revise the Airport Information System Strategic Plan by September 2003.	280-001
<b>John Wayne Airport - Business Development</b> Amount: \$ 49,783	Add one Staff Assistant Position	100% of the insurance policies for the 1,100 contracts will be monitored.	280-002
<b>John Wayne Airport - Facilities</b> Amount: \$ 109,968	Add two Airport Electronic Technician positions.	98% reliability rate on preventing system-wide failures & have system failures repaired w/in 48 hrs.	280-003

## Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	135	135	141	6	4.44
Total Revenues	140,778,913	141,941,538	162,142,886	171,262,192	9,119,305	5.62
Total Requirements	63,386,456	141,941,538	198,712,365	171,262,192	(27,450,173)	-13.81
Balance	77,392,457	0	(36,569,479)	0	36,569,479	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Airport - Operating Enterprise in the Appendix on page 541.

## Highlights of Key Trends:

- The FAA has issued a number of security directives since events of September 11. JWA has worked with the Sheriff to implement these measures. The sheer number of these directives and the complexity of integrating them into the Airport Security Plan will continue to present challenges to the Airport.
- The manner in which the terminal and roadway facilities are used by passengers and tenants has changed, and will continue to change. Facility reviews, modified maintenance schedules, and a host of other measures are underway and/or proposed in 2002 to ensure that the Airport facilities can be operated safely and efficiently.
- Implementation of new federally-mandated security measures will continue to place additional burdens on Airport staff and JWA's law enforcement partners. There has been a substantial increase in resources dedicated by the Sheriff's Department to meet these burdens. An increase of approximately \$8.7 million in security costs from the Sheriff is projected to be permanent for future budgets.
- It is difficult to identify the long term financial ramifications of the September 11 attacks to JWA. Although passenger activity improved substantially since September 11, the activity level has not reached the same level as previous years.
- Aeronautical users will pay their share of these additional costs through their rates & charges structure. On March 12, 2002 the Board approved non-aeronautical and general aviation rate increases to ensure that JWA's revenue structure is sufficient to meet current priorities. It is uncertain whether projected revenues from rate increases will materialize.
- Property and Liability insurance costs are substantially higher due to September 11 event.
- Future security directives will negatively impact the cost of airport capital improvements.

## Budget Units Under Agency Control

No.	Agency Name	Public Affairs	Operations	Facilities	Finance & Administration	Business Development	John Wayne Airport - Operating	Total
280	Airport - Operating	2,439,578	22,937,892	59,584,001	42,218,632	877,085	43,205,004	171,262,192
283	John Wayne Airport Debt Service	0	0	5,832,000	76,042,335	0	0	81,874,335
	Total	2,439,578	22,937,892	65,416,001	118,260,967	877,085	43,205,004	253,136,527

## 283 - JOHN WAYNE AIRPORT DEBT SERVICE

### Operational Summary

#### Description:

This fund provides appropriations for debt service and related trustee activity associated with Airport Revenue Bonds, Series 1993 and 1997. The bonds were issued to refinance debt associated with the construction of the JWA Master Plan, including the Thomas F. Riley Terminal, which opened to the traveling public in September 1990. Funding was subsequently added for all facets of the Santa Ana Heights Acoustical Insulation Program.

#### Strategic Goals:

- Continue to comply with debt defeasance requirements in accordance with the Bond Indenture.
- Maintain investment grade on JWA revenue bonds.
- Continue the Santa Ana Heights Acoustical Insulation Program.

#### Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Met or exceeded all financial and reporting requirements under the JWA Bond Indenture.
- In compliance with EIR 546, completed acoustical insulation of an 182 unit apartment complex as part of the Santa Ana Heights Acoustical Insulation Program. Twenty-four additional dwellings are in progress.
- In light of the events of September 11, JWA demonstrated the ability to maintain strong financial operations and an A+ rating from Fitch on its revenue bonds while maintaining an A rating from Standard & Poor's. Additionally, JWA received an upgrade by Moody's to an Aa3 rating.

**JWA DEBT SERVICE** - Provides appropriations to account for all debt service and related trustee activity as required under the JWA Bond Indenture.

**SA HEIGHTS ACOUSTICAL PROGRAM** - Provides appropriations to account for all aspects of the Santa Ana Heights Acoustical Insulation Program.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	34,093,778
Total Final FY 2002-2003 Budget:	81,874,335
Percent of County General Fund:	N/A
Total Employees:	0.00

Due to accounting methodology changes, the Total Recommended FY 2002-2003 Budget includes \$22,290,518 in Retained Earnings which represents resources invested in undepreciated capital assets.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no money from the County General Fund. Net revenues from JWA operations are utilized to provide for repayment of Airport Revenue Bonds.

Insure that FAA grants and OCDA funding are available to support the Santa Ana Heights Acoustical Insulation Program.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	77,569,657	56,692,705	80,323,251	81,874,335	1,551,083	1.93
Total Requirements	25,260,714	56,692,705	24,122,490	81,874,335	57,751,844	239.41
Balance	52,308,943	0	56,200,761	0	(56,200,761)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: John Wayne Airport Debt Service in the Appendix on page 546.

### Highlights of Key Trends:

- Since the issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the 125 percent debt service covenant imposed by Bond Indenture.
- JWA has successfully completed Acoustical Insulation on 322 homes and apartments since inception of the Santa Ana Heights Acoustical Insulation Program.

## 299 - INTEGRATED WASTE MANAGEMENT DEPARTMENT ENTERPRISE

### Operational Summary

#### Mission:

To meet the solid waste disposal needs of Orange County through efficient operations, sound environmental practices, strategic planning, innovation and technology.

#### Strategic Goals:

- 1. Maintain a competitive rate for waste disposal in Orange County.
- 2. Protect water, air and habitat in the management of the Orange County Disposal System.
- 3. Financially support the County of Orange's Bankruptcy Recovery Plan.

#### Key Outcome Measures:

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>GATE FEE COMPARISON WITHIN SOUTHERN CALIFORNIA.</b> <b>What:</b> Comparison of disposal rates of the OC landfill system compared with other landfills in the region. <b>Why:</b> To ensure that Orange County residents are provided a competitive disposal rate.	2001 Tipping Fee - \$22 per ton	Evaluate disposal rates and adjust operating strategies to ensure IMWD's rates are within the top 10% of the lowest rates.	IMWD's rates are currently in the lowest 10% within the Southern California region.
<b>TOTAL VOLUME OF LEACHATE AND IMPACTED GROUND WATER COLLECTED THROUGH THE LEACHATE RECOVERY SYSTEM.</b> <b>What:</b> Measure the amount of leachate and ground water collected. <b>Why:</b> To protect water quality from the effects of landfill disposal.	The total volume of leachate and impacted ground water collected during 2001 was 6,120,944 gallons. This measure is important as it is mandated by law and prevents local ground water for being impacted.	Collect leachate and ground water for water quality protection.	IMWD currently has collection and recovery systems in place at each landfill to collect leachate and impacted ground water.
<b>NET IMPORTATION REVENUE AMOUNT TRANSFERRED TO THE COUNTY GENERAL FUND PER YEAR.</b> <b>What:</b> Revenue transferred to the County General Fund from imported waste. <b>Why:</b> To support the County's Bankruptcy Recovery Plan.	\$12,500,000 was transferred to the General Fund in fiscal year 01/02.	The Department target for FY 02/03 is to provide the maximum transfer of funds in support of bankruptcy obligations.	To date \$70,336,834 or an average of \$12,713,744 per year has been transferred to the General Fund.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	58,801,175
Total Final FY 2002-2003 Budget:	117,567,800
Percent of County General Fund:	N/A
Total Employees:	239.00

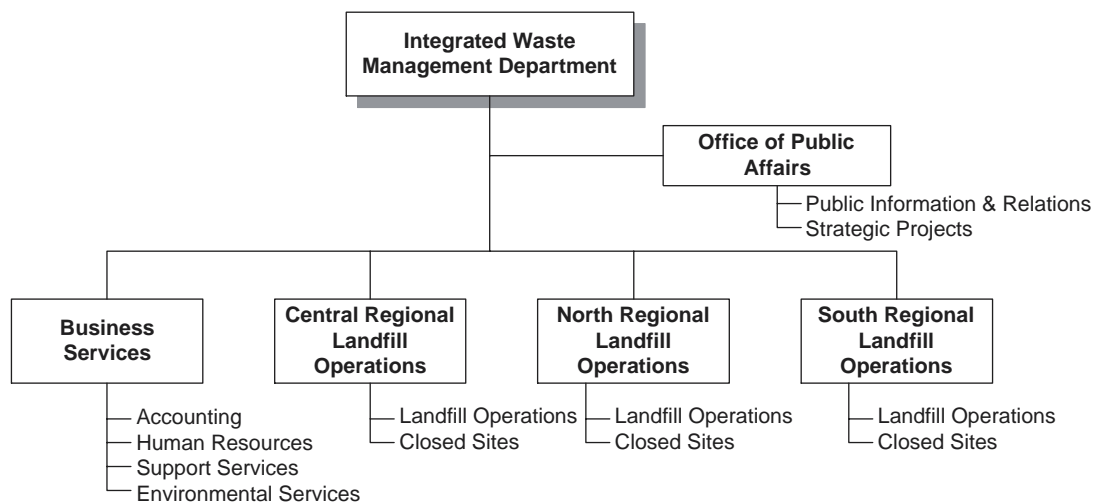
## Key Outcome Measures: (Continued)

Performance Measure	2001 Business Plan Results	2002 Business Plan Target	How are we doing?
<b>NUMBER OF YEARS OF REMAINING LANDFILL CAPACITY</b> <b>What:</b> Measures whether Orange County meets state standards of providing 15 years of landfill capacity. <b>Why:</b> To ensure that OC residents are provided with adequate landfill capacity.	Approximately 25 years of state required remaining permitted landfill capacity.	Perform remaining landfill capacity calculations and compare results against the state standard of 15 years for all jurisdictions.	IWMD has more than adequate capacity to fulfill the requirement.
<b>AMOUNT OF LANDFILL GAS COLLECTED AND PUT TO BENEFICIAL REUSE.</b> <b>What:</b> Collection of landfill gas that would have otherwise been emitted into the atmosphere. <b>Why:</b> To protect the air from the effects of landfill disposal.	2,007 million standard cubic feet (MSCF/yr.) of landfill gas was collected in 2001 and put to beneficial reuse. This measure is important, as regulations require that landfill gas be properly managed to protect air quality.	Collect landfill gas and put to reuse for protection of air quality.	Currently, IWMD has operational Landfill Gas-to-Energy systems at the Olinda Alpha and Prima Deshecha Landfills. Installing a system at the FRB Landfill is currently in the planning stages.
<b>NUMBER OF HABITAT ACRES CURRENTLY UNDER RESTORATION</b> <b>What:</b> Measures the number of landfill acres under restoration. <b>Why:</b> To comply with NCCP and protect habitat, both plant and animals from the impact of operations.	There are currently 9 acres under restoration. Restoration is accomplished by replanting, restoring, creating and maintaining habitat acres.	A Request for Proposal is being prepared to conduct a biological survey to assess and determine alternatives to mitigate habitat for future landfill operations.	11.5 acres of riparian creation and 11 acres of Coastal Sage Scrub will be developed at the Prima Landfill to replace the disturbed habitat.

## Fiscal Year FY 2001-2002 Key Project Accomplishments:

- Received an unqualified opinion of Audited Financial Statements indicating no negative findings.
- The department received the Solid Waste Association of North America (SWANA) Bronze Award for Excellence in Landfill Management.
- IWMD received two grants from the California Integrated Waste Management Board in 2001 for a total of \$550,000: \$300,000 designated for construction of the new Household Hazardous Waste Collection Center in San Juan Capistrano and \$250,000 for a project to provide household hazardous waste pick-up service for disabled or elderly residents.

## Organizational Summary



**BUSINESS SERVICES** - Conducts Department accounting, budget, finance, and procurement activities; implements and maintains Department information systems; manages human resources needs of the Department; and provides various general support services; oversees regulatory compliance issues; administers Waste Disposal Agreements; manages contracts for solid waste services in the unincorporated areas; coordinates the operations of regional Household Hazardous Waste Collection Centers; coordinates with the cities in the administration of the County Integrated Waste Management Plan.

**CENTRAL REGIONAL LANDFILL OPS** - Operates and manages daily activities at the Frank R. Bowerman Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

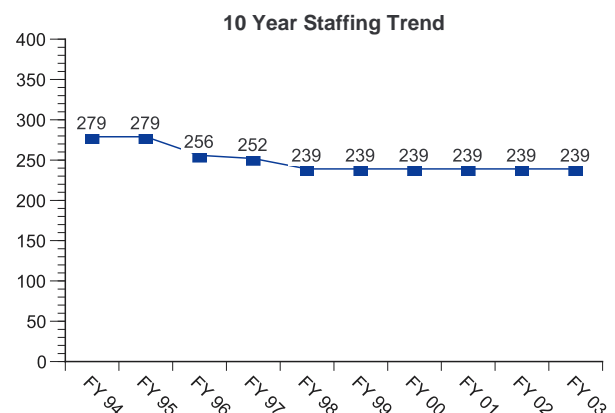
**NORTH REGIONAL LANDFILL OPS** - Operates and manages daily activities at the Olinda Alpha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains ten closed landfill sites.

**SOUTH REGIONAL LANDFILL** - Operates and manages daily activities at the Prima Deshecha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

**OFFICE OF PUBLIC AFFAIRS** - Facilitates long-range planning; identifies, analyzes and manages liability matters related to IWMD solid waste operations; develops strategies for resolving legal issues; conducts negotiations with developers, municipalities and property owners; conducts community and media relations, marketing and outreach activities; responds to customer issues.

**IWMD DIRECTOR** - Directs the administrative management activities of the Department to accomplish Agency goals and strategic priorities.

### Ten Year Staffing Trend:





### Ten Year Staffing Trend Highlights:

- Staffing trend illustrates the success the Department has had in streamlining operations to reduce costs, maximize efficiency, and better serve our customers.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

The Department will continue to provide available landfill capacity as needed for importation of waste and transfer net importation revenue to the General Fund for bankruptcy related obligations.

#### Changes Included in the Base Budget:

The base budget reflects an increase in appropriations of approximately \$14 million for the final closure of the Santiago Canyon Landfill.

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Positions	-	239	239	239	0	0.00
Total Revenues	239,820,895	266,735,922	260,634,451	117,567,800	(143,066,651)	-54.89
Total Requirements	73,787,650	266,735,922	333,088,674	117,567,800	(215,520,874)	-64.70
Balance	166,033,245	0	(72,454,222)	0	72,454,222	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Integrated Waste Management Department Enterprise in the Appendix on page 553.

### Highlights of Key Trends:

- IWMD successfully completed the implementation of the Global Positioning Satellite (GPS) system in heavy equipment at the Olinda Alpha Landfill. The GPS system enhanced landfill grading operations to grade cover soil more accurately and efficiently manage valuable space for landfill capacity. It is estimated that IWMD

will save several million dollars on soil importation as well as preserve landfill capacity over the remaining life of the Olinda Alpha Landfill. During the next year IWMD will be implementing the GPS system at the FRB and Prima Deshecha landfills, thus achieving similar cost and capacity savings as at the Olinda Alpha Landfill.

### Budget Units Under Agency Control

No.	Agency Name	Business Services	Central Regional Landfill Operations	North Regional Landfill Operations	South Regional Landfill	Office Of Public Affairs	IWMD Director	Total
275	IWMD-Environmental Reserve	3,428,000	0	0	0	0	0	3,428,000
276	IWMD-Deferred Pmt Security Deposit	43,000	0	0	0	0	0	43,000
277	IWMD-Rate Stabilization	1,514,000	0	0	0	0	0	1,514,000
278	IWMD-Relooc	840,500	0	0	0	0	0	840,500

## Budget Units Under Agency Control

No.	Agency Name	Business Services	Central Regional Landfill Operations	North Regional Landfill Operations	South Regional Landfill	Office Of Public Affairs	IWMD Director	Total
279	IWMD-Landfill Postclosure Maint.	3,028,000	0	0	0	0	0	3,028,000
284	IWMD-FRB Escrow Account	1,060,000	0	0	0	0	0	1,060,000
285	IWMD Bankruptcy Recovery Plan	18,802,562	0	0	0	0	0	18,802,562
286	IWMD-Brea/Olinda Escrow	1,900,000	0	0	0	0	0	1,900,000
287	IWMD-Prima Escrow	670,000	0	0	0	0	0	670,000
288	IWMD-Santiago Escrow	970,000	0	0	0	0	0	970,000
299	Integrated Waste Mgmt Dept Enterprise	32,280,142	43,232,060	16,284,554	23,759,962	1,788,672	222,410	117,567,800
	Total	64,536,204	43,232,060	16,284,554	23,759,962	1,788,672	222,410	149,823,862

## 275 - IWMD - ENVIRONMENTAL RESERVE

### Operational Summary

#### Description:

State Regulations require landfill operators to set aside monies for potential corrective actions that may occur. In order to comply with these regulations, IWMD makes deposits to this fund on a monthly basis.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	49,427
Total Final FY 2002-2003 Budget:	3,428,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	1,616,839	3,428,000	1,811,160	112.02
Total Requirements	0	0	49,426	3,428,000	3,378,573	6,835.48
Balance	0	0	1,567,412	0	(1,567,412)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD - Environmental Reserve in the Appendix on page 536.

## 276 - IWMD - DEFERRED PAYMENT SECURITY DEPOSITS

### Operational Summary

#### Description:

IWMD collects security deposits from landfill customers who open deferred billing accounts. These deposits are held to ensure IWMD has a source of funding in the event customers default on their account payments. As accounts are opened or closed funds are deposited or withdrawn as needed.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	57
Total Final FY 2002-2003 Budget:	43,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	1,045	43,000	41,954	4,014.75
Total Requirements	0	0	56	43,000	42,943	75,404.83
Balance	0	0	988	0	(988)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD - Deferred Payment Security Deposits in the Appendix on page 537.

## 277 - IWMD - RATE STABILIZATION

### Operational Summary

#### Description:

In accordance with the requirements of the Waste Management Refunding Revenue Bonds, Series 1997, IWMD has established a rate stabilization fund. This fund was established to provide assurance for the payment of the bond debt service payments.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	22,969
Total Final FY 2002-2003 Budget:	1,514,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	670,201	1,514,000	843,798	125.90
Total Requirements	0	0	22,968	1,514,000	1,491,031	6,491.60
Balance	0	0	647,233	0	(647,233)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD - Rate Stabilization in the Appendix on page 538.

## 278 - REGIONAL LANDFILL OPTIONS FOR ORANGE COUNTY

### Operational Summary

#### Description:

In Fiscal Year 1999/00 IWMD began an economic and financial study to identify and investigate viable long-range waste disposal options for Orange County. This fund was established to set aside resources for the study and implementation of the approved solid waste disposal options.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	10,914
Total Final FY 2002-2003 Budget:	840,500
Percent of County General Fund:	N/A
Total Employees:	0.00

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	292,149	840,500	548,350	187.70
Total Requirements	0	0	10,914	840,500	829,585	7,600.81
Balance	0	0	281,234	0	(281,234)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Regional Landfill Options for Orange County in the Appendix on page 539.

## 279 - IWMD - LANDFILL POST-CLOSURE MAINTENANCE

### Operational Summary

#### Description:

State Regulations require IWMD provide financial assurances to conduct post-closure maintenance activities at all closed landfills. Each year IWMD transfers monies into this fund to comply with the regulations and fund future expenses.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	47,545
Total Final FY 2002-2003 Budget:	3,028,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	1,305,785	3,028,000	1,722,214	131.89
Total Requirements	0	0	47,545	3,028,000	2,980,454	6,268.67
Balance	0	0	1,258,240	0	(1,258,240)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD - Landfill Post-Closure Maintenance in the Appendix on page 540.

## 284 - FRANK R. BOWERMAN/BEE CANYON LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	17,068
Total Final FY 2002-2003 Budget:	1,060,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	516,395	1,060,000	543,604	105.27
Total Requirements	0	0	17,067	1,060,000	1,042,932	6,110.49
Balance	0	0	499,328	0	(499,328)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Frank R. Bowerman/Bee Canyon Landfill Escrow in the Appendix on page 548.



## 285 - IWMD BANKRUPTCY RECOVERY PLAN

### Operational Summary

#### Description:

To continue to receive and dispose of imported waste and provide net imported waste revenue for transfer to the General Fund in support of the County debt defeasance strategic plan.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	20,514,795
Total Final FY 2002-2003 Budget:	18,802,562
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

See Fund 299

### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	18,864,911	18,810,965	20,640,863	18,802,562	(1,838,301)	-8.91
Total Requirements	18,746,250	18,810,965	20,514,795	18,802,562	(1,712,233)	-8.35
Balance	118,661	0	126,068	0	(126,068)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD Bankruptcy Recovery Plan in the Appendix on page 549.

## 286 - BREA-OLINDA LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	34,063
Total Final FY 2002-2003 Budget:	1,900,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	1,036,727	1,900,000	863,272	83.27
Total Requirements	0	0	34,062	1,900,000	1,865,937	5,477.95
Balance	0	0	1,002,665	0	(1,002,665)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Brea-Olinda Landfill Escrow in the Appendix on page 550.

## 287 - PRIMA DESCHECHA LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	9,570
Total Final FY 2002-2003 Budget:	670,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	290,536	670,000	379,463	130.61
Total Requirements	0	0	9,570	670,000	660,429	6,900.75
Balance	0	0	280,965	0	(280,965)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Prima Deschecha Landfill Escrow in the Appendix on page 551.

## 288 - SANTIAGO CANYON LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

Total FY 2001-2002 Actual Expenditure + Encumbrance:	12,396
Total Final FY 2002-2003 Budget:	970,000
Percent of County General Fund:	N/A
Total Employees:	0.00

### Budget Summary

#### Final Budget and History:

Sources and Uses	FY 2000-2001 Actual Exp/Rev	FY 2001-2002 Final Budget	FY 2001-2002 Actual Exp/Rev <sup>(1)</sup>	FY 2002-2003 Final Budget	Change from FY 2001-2002 Actual	
					Amount	Percent
Total Revenues	0	0	357,916	970,000	612,083	171.01
Total Requirements	0	0	12,395	970,000	957,604	7,725.14
Balance	0	0	345,520	0	(345,520)	-100.00

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2001-02 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: Santiago Canyon Landfill Escrow in the Appendix on page 552.

## 9A1 Major Activities

The purpose of these funds is to construct facility improvements, address funding and expenditure activity, and provide for administrative expenses of the Orange County Development Agency (OCDA) and the CEO Single Family Housing Fund.

## 9A1 Funds

Agency Number	OC Development Agency & CEO Single Family	FY 2002-2003 Appropriations	FY 2002-2003 Revenue
15A	OCDA Santa Ana Heights 1993 Bond Issue	\$ 23,454,629	\$ 23,454,629
15B	CEO Single Family Housing	8,310,000	8,310,000
15E	OCDA/S.A. Heights 1993 Low & Mod Income Housing	144,990	144,990
171	OCDA Low & Moderate Income Housing (SA Heights)	14,735,005	14,735,005
173	OCDA Santa Ana Heights - Surplus	13,163,770	13,163,770
411	OCDA (NDAPP) Projects, 1992 Issue A	1,011,585	1,011,585
412	OCDA (NDAPP) Low/Moderate Housing 1992 Issue A	3,255,171	3,255,171
413	OCDA (NDAPP) Projects, 1992 Issue B	1,246,938	1,246,938
414	OCDA (NDAPP), 1992 Issue B, Low/Moderate Housing	2,352,170	2,352,170
425	OCDA Neighborhood Preserv. & Dev. - Construction	711,997	711,997
428	OCDA (NDAPP) - Surplus	374,031	374,031